



**Martha Redding**  
Associate General Counsel  
Assistant Secretary

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
T + 1 212 656 2938  
F + 1 212 656 8101  
Martha.Redding@theice.com

**Via Electronic Mail**

December 4, 2020

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

**Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find the electronically signed Form 19b-4(e) with respect to the securities listed below.

**WBI BullBear Rising Income 3000 ETF (WBIE)**  
**WBI BullBear Value 3000 ETF (WBIF)**  
**WBI BullBear Yield 3000 ETF (WBIG)**  
**WBI BullBear Global Income ETF (WBII)**  
**WBI BullBear Quality 3000 ETF (WBIL)**  
**WBI BullBear Trend Switch US Total Return ETF (WBIN)**  
**WBI BullBear Trend Switch US 3000 Total Return ETF (WBIT)**  
**WBI Power Factor High Dividend ETF (WBIY)**  
**iM DBi Hedge Strategy ETF (DBEH)**  
**iM DBi Managed Futures Strategy ETF (DBMF)**  
**BNY Mellon Core Bond ETF (BKAG)**  
**BNY Mellon Emerging Markets Equity ETF (BKEM)**  
**BNY Mellon High Yield Beta ETF (BKHY)**  
**BNY Mellon International Equity ETF (BKIE)**  
**BNY Mellon US Large Cap Core Equity ETF (BKLC)**  
**BNY Mellon US Mid Cap Core Equity ETF (BKMC)**  
**BNY Mellon Short Duration Corporate Bond ETF (BKSB)**  
**BNY Mellon US Small Cap Core Equity ETF (BKSE)**  
**Amplify Pure Junior Gold Miners ETF (JGLD)**

**Fidelity MSCI Consumer Discretionary Index ETF (FDIS)**  
**Fidelity MSCI Energy Index ETF (FENY)**  
**Fidelity MSCI Health Care Index ETF (FHLC)**  
**Fidelity MSCI Industrials Index ETF (FIDU)**  
**Fidelity MSCI Materials Index ETF (FMAT)**  
**Fidelity MSCI Financials Index ETF (FNCL)**  
**Fidelity MSCI Real Estate Index ETF (FREL)**  
**Fidelity MSCI Consumer Staples Index ETF (FSTA)**  
**Fidelity MSCI Information Technology Index ETF (FTEC)**  
**Fidelity MSCI Utilities Index ETF (FUTY)**  
**KFA Mount Lucas Index Strategy ETF (KMLM)**  
**MicroSectors Gold Miners 3X Leveraged ETN (GDXU)**  
**MicroSectors Gold Miners -3X Inverse Leveraged ETNs (GDXD)**  
**Ballast Small/Mid Cap ETF (MGMT)**  
**iShares MSCI Philippines ETF (EPHE)**  
**Dimensional Emerging Core Equity Market ETF (DFAE)**  
**QRAFT AI-Enhanced US Next Value ETF (NVQ)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Martha Redding

Enclosures

|                                                                                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------------|--|-------------------------------------------------------------------------------------------------|
| For Internal Use Only                                                                                                                                                                                                                                                                                                                                                     |  | Submit 1 Original             |  | OMB Approval No.:                                                                               |
| Sec File No. 91 -                                                                                                                                                                                                                                                                                                                                                         |  | and 9 Copies                  |  | Expires:                                                                                        |
| Estimated average burden hours per response: 2.00                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| <b>UNITED STATES</b><br><b>SECURITIES AND EXCHANGE COMMISSION</b><br>Washington, D.C. 20549<br><b>FORM 19b-4(e)</b>                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| <b>Information Required of a Self-Regulatory Organization Listing and Trading a New</b><br><b>Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934</b>                                                                                                                                                                       |  |                               |  |                                                                                                 |
| <b>READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM</b>                                                                                                                                                                                                                                                                                                                     |  |                               |  |                                                                                                 |
| <b>Part I</b>                                                                                                                                                                                                                                                                                                                                                             |  | <b>Initial Listing Report</b> |  |                                                                                                 |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:                                                                                                                                                                                                                                                                                        |  |                               |  |                                                                                                 |
| NYSE Arca, Inc.                                                                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| Open-end Management Investment Company                                                                                                                                                                                                                                                                                                                                    |  |                               |  |                                                                                                 |
| 3. Class of New Derivative Securities Product:                                                                                                                                                                                                                                                                                                                            |  |                               |  | <br>20014741 |
| Exchange-Traded Fund Shares                                                                                                                                                                                                                                                                                                                                               |  |                               |  |                                                                                                 |
| 4. Name of Underlying Instrument:                                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| n/a                                                                                                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:                                                                                                                                                                                                                                                                                 |  |                               |  |                                                                                                 |
| n/a                                                                                                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| 6. Ticker Symbol(s) of New Derivative Securities Product:                                                                                                                                                                                                                                                                                                                 |  |                               |  |                                                                                                 |
| DFAE                                                                                                                                                                                                                                                                                                                                                                      |  |                               |  |                                                                                                 |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| n/a                                                                                                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| 8. Settlement Methodology of New Derivative Securities Product:                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| Regular way trades settle on T+2/Book entry only held in DTC.                                                                                                                                                                                                                                                                                                             |  |                               |  |                                                                                                 |
| 9. Position Limits of New Derivative Securities Product (if applicable):                                                                                                                                                                                                                                                                                                  |  |                               |  |                                                                                                 |
| Not applicable.                                                                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| <b>Part II</b>                                                                                                                                                                                                                                                                                                                                                            |  | <b>Execution</b>              |  |                                                                                                 |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. |  |                               |  |                                                                                                 |
| Name of Official Responsible for Form:                                                                                                                                                                                                                                                                                                                                    |  |                               |  |                                                                                                 |
| Martha Redding                                                                                                                                                                                                                                                                                                                                                            |  |                               |  |                                                                                                 |
| Title:                                                                                                                                                                                                                                                                                                                                                                    |  |                               |  |                                                                                                 |
| Associate General Counsel and Assistant Secretary                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| Telephone Number:                                                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| (212) 656-2938                                                                                                                                                                                                                                                                                                                                                            |  |                               |  |                                                                                                 |
| Manual Signature of Official Responsible for Form:                                                                                                                                                                                                                                                                                                                        |  |                               |  |                                                                                                 |
| Martha Redding                                                                                                                                                                                                                                                                                                                                                            |  |                               |  |                                                                                                 |
| December 4, 2020                                                                                                                                                                                                                                                                                                                                                          |  |                               |  |                                                                                                 |