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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

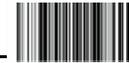
The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open End Management Investment Company

3. Class of New Derivative Securities Product:

Exchange Traded Fund Shares under Nasdaq Rule 5704



4. Name of Underlying Instrument:

See Attachment A

20014554

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

See Attachment A

6. Ticker Symbol(s) of New Derivative Securities Product:

See Attachment A

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

See Attachment A

8. Settlement Methodology of New Derivatives Product:

Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number:

1-301-978-8088

Manual Signature of Official Responsible for Form:

William Slattery

Date: October 7, 2020

Attachment A

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
Richard Bernstein Advisors American Industrial Renaissance Index	Broad-Based	AIRR	NASDAQ, NYSE
Nasdaq Dorsey Wright DALI 1 Index	Broad-Based	DALI	NASDAQ, NYSE ARCA
Dorsey Wright Momentum Plus Dividend Yield Index	Broad-Based	DDIV	NASDAQ, NYSE
Nasdaq Dorsey Wright Momentum + Value Index	Broad-Based	DVLU	NASDAQ, NYSE
Nasdaq Dorsey Wright Momentum + Low Volatility Index	Broad-Based	DVOL	NASDAQ, NYSE
S&P International Dividend Aristocrats Index	Broad-Based	FID	ASX, STOCK EXCHANGE OF THAILAND, EURONEXT BRUSSELS, XETRA, NASDAQ HELSINKI LTD, HONG KONG EXCHANGE, JOHANNESBURG STOCK EXCHANGE, KOREA EXCHANGE (STOCK MARKET), EURONEXT LISBON, LONDON STOCK EXCHANGE, BOLSA DE MADRID, EURONEXT PARIS, SINGAPORE EXCHANGE, NASDAQ STOCKHOLM AB, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, SIX SWISS EXCHANGE - BLUE CHIPS SEGMENT
Active portfolio of exchange listed U.S equities and options.	N/A	FTHI	NASDAQ, NYSE, NYSE AMERICAN, CHICAGO BOARD OF TRADE
Active portfolio of exchange listed U.S equities and options.	N/A	FTLB	NASDAQ, NYSE, NYSE AMERICAN, CHICAGO BOARD OF TRADE
Nasdaq US Smart Retail Index	Broad-Based	FTXD	NASDAQ, NYSE
Nasdaq US Smart Food & Beverage Index	Broad-Based	FTXG	NASDAQ, NYSE
Nasdaq US Smart Pharmaceuticals Index	Broad-Based	FTXH	NASDAQ, NYSE
Nasdaq US Smart Semiconductor Index	Broad-Based	FTXL	NASDAQ
Nasdaq US Smart Oil & Gas Index	Broad-Based	FTXN	NASDAQ, NYSE, NYSE AMERICAN
Nasdaq US Smart Banks Index	Broad-Based	FTXO	NASDAQ, NYSE
Nasdaq US Smart Transportation Index	Broad-Based	FTXR	NASDAQ, NYSE

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
Dorsey Wright Focus Five Index	Broad-Based	FV	NASDAQ, NYSE ARCA
Dorsey Wright Dynamic Focus Five Index	Broad-Based	FVC	NASDAQ, NYSE ARCA
First Trust Dorsey Wright International Focus 5 Index	Broad-Based	IFV	NASDAQ, NYSE ARCA
Indxx Blockchain Index	Broad-Based	LEGR	ELECTRONIC SHARE MARKET, ASX - ALL MARKETS, THAILAND, BRUSSELS, IRISH STOCK EXCHANGE, XETRA, OMX NORDIC EXCHANGE HELSINKI OY, HONG KONG, JSE SECURITIES EXCHANGE, KOREA, LONDON, MERCADO CONTINUO ESPANOL, NASDAQ, NEW YORK, OSLO BORS ASA, NYSE EURONEXT - EURONEXT PARIS, TAIWAN, TOKYO, TORONTO, SIX SWISS EXCHANGE AG
NASDAQ US Multi-Asset Diversified Income Index	Broad-Based	MDIV	NASDAQ, NYSE, NYSE AMERICAN
NASDAQ US Rising Dividend Achievers Index	Broad-Based	RDVY	NASDAQ, NYSE
Nasdaq Riskalyze Developed Markets Index	Broad-Based	RNDM	ASX GROUP, BORSA ITALIANA, EURONEXT AMSTERDAM, EURONEXT BRUSSELS, EURONEXT LISBON, EURONEXT PARIS, HONG KONG STOCK EXCHANGE, IRISH STOCK EXCHANGE, KOSPI STOCK MARKET, LONDON STOCK EXCHANGE, NASDAQ OMX COPENHAGEN, NASDAQ OMX HELSINKI, NASDAQ OMX STOCKHOLM, NEW ZEALAND EXCHANGE, OSLO BORS VPS, SINGAPORE EXCHANGE, SIX GROUP, SIX SWISS EXCHANGE EUROPE, SOCIEDAD DE BOLSAS, TEL AVIV STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TSX TORONTO EXCHANGE, VIENNA OTC, XETRA ETF
Nasdaq Riskalyze US Large Cap Select Dividend Index	Broad-Based	RNDV	NASDAQ, NYSE
Nasdaq Riskalyze Emerging Markets Index	Broad-Based	RNEM	STOCK EXCHANGE OF THAILAND, BOLSA DE VALORES DE COLOMBIA, BUDAPEST STOCK EXCHANGE, HONG KONG

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
			STOCK EXCHANGE, INDONESIA STOCK EXCHANGE, BORSA ISTANBUL, JSE LTD, BURSA MALAYSIA, LONDON STOCK EXCHANGE (EQS), MEXICO STOCK EXCHANGE, NATIONAL STOCK EXCHANGE OF INDIA, PHILIPPINE STOCK EXCHANGE, PRAGUE STOCK EXCHANGE, BOLSA DE COMERCIO DE SANTIAGO DE CHILE, TAIWAN STOCK EXCHANGE, WARSAW STOCK EXCHANGE
Nasdaq Riskalyze US Large Cap Index	Broad-Based	RNLC	NASDAQ, NYSE
Nasdaq Riskalyze US Mid Cap Index	Broad-Based	RNMC	NASDAQ, NYSE
Nasdaq Riskalyze US Small Cap Index	Broad-Based	RNSC	NASDAQ, NYSE, NYSE AMERICAN
Nasdaq CTA Artificial Intelligence and Robotics Index	Broad-Based	ROBT	BOLSA DE VALORES, MERCADORIAS E FUTUROS, EURONEXT AMSTERDAM, XETRA, HONG KONG, KOREA, LONDON, NASDAQ, NYSE, EURONEXT PARIS, STOCKHOLM, SWISS, TAIWAN, TOKYO, TORONTO, SIX SWISS
NASDAQ US Small Mid Cap Rising Dividend Achievers Index	Broad-Based	SDVY	NASDAQ, NYSE
NASDAQ Technology Dividend Index	Broad-Based	TDIV	NASDAQ, NYS