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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I**

**Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open End Management Investment Company**

3. Class of New Derivative Securities Product:

**Exchange Traded Fund**



4. Name of Underlying Instrument:

**MSCI Emerging Markets Choice ESG Screened 5% Issuer Capped Index**

20014548

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Broad-Based**

6. Ticker Symbol(s) of New Derivative Securities Product:

**EMXF**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**BM&FBOVESPA S.A., QATAR EXCHANGE, MOSCOW EXCHANGE, TAIPEI EXCHANGE, ABU DHABI SECURITIES EXCHANGE, ATHENS EXCHANGE S.A., STOCK EXCHANGE OF THAILAND, BOLSA DE VALORES DE COLOMBIA, BUDAPEST STOCK EXCHANGE, EGYPTIAN EXCHANGE, DUBAI FINANCIAL MARKET, HONG KONG EXCHANGE, INDONESIA STOCK EXCHANGE, BORSA ISTANBUL, JOHANNESBURG STOCK EXCHANGE, PAKISTAN STOCK EXCHANGE, BURSA MALAYSIA, KOREA EXCHANGE (STOCK MARKET), BOLSA MEXICANA DE VALORES, NASDAQ, NATIONAL STOCK EXCHANGE OF INDIA, NYSE, PHILIPPINE STOCK EXCHANGE, PRAGUE STOCK EXCHANGE, SAUDI STOCK EXCHANGE, SHENZHEN STOCK EXCHANGE (HONG KONG STOCK CONNECT), SANTIAGO STOCK EXCHANGE, SHANGHAI STOCK EXCHANGE (HONG KONG STOCK CONNECT), TAIWAN STOCK EXCHANGE, WARSAW STOCK EXCHANGE**

8. Settlement Methodology of New Derivatives Product:

**Regular way trades settle on T + 2 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):

**N/A**

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**William Slattery**

Title:

**Vice President**

Telephone Number:

**1-301-978-8088**

Manual Signature of Official Responsible for Form:

*William Slattery*

Date: October 8, 2020

SEC 2449 (6-01)