



Barbara J. Comly
General Counsel

7 Roszel Road, Suite 1A
Princeton, New Jersey 08540
T 609 897 7300
F 609 987 2210
bcomly@miami-holdings.com

September 15, 2020

Jeannette Marshall
Division of Trading and Markets
Office of Market Supervision
Securities and Exchange Commission
100 F. Street, N.E.
Washington, D.C. 20549

**Re: Miami International Securities Exchange, LLC ("MIAX")
Amendment 2020-10 to Form 1 Application**

Dear Ms. Marshall:

Enclosed for official filing pursuant to Rule 6a-2(a) is Amendment 2020-10 to the Form 1 Application of MIAX, which includes the following changes:

Exhibit M – Updated Member List

Please do not hesitate to contact me if you have any questions in connection with this matter.

Very truly yours,

Barbara J. Comly

Barbara J. Comly
EVP, General Counsel & Corporate Secretary

Enclosures
cc: Marlene Olsen

Form 1 Page 1 Execution Page	UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	Date filed (MM/DD/YY): 09/15/2020	OFFICIAL USE ONLY
Warning: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative or criminal action			
INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS			
☐ APPLICATION ☒ AMENDMENT 1. State the name of the applicant: Miami International Securities Exchange, LLC 2. Provide the applicant's primary street address (Do not use a P.O. Box): 7 Roszel Road, Suite 1A, Princeton, NJ 08540 3. Provide the applicant's mailing address (if different): 4. Provide the applicant's business telephone and facsimile number: Telephone: 609-897-7300; Facsimile: 609-987-2210 5. Provide the name, title and telephone number of a contact employee: Barbara J. Comly, EVP, General Counsel & Corporate Secretary 609-897-7315 6. Provide the name and address of counsel for the applicant: Barbara J. Comly, EVP, General Counsel & Corporate Secretary Miami International Securities Exchange, LLC 7 Roszel Road, Suite 1A Princeton, NJ 08540 7. Provide the date applicant's fiscal year ends: December 31 8. Indicate legal status of applicant: ☐ Corporation ☐ Sole Proprietorship ☐ Partnership ☒ Limited Liability Company ☐ Other (specify): If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed): (a) Date (MM/DD/YY): 9/10/07 (b) State/Country of formation: Delaware (c) Statute under which applicant was organized: Delaware Limited Liability Company Act, 6 Del. C. 18-1d et seq.			
EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete. MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC Date: September 15, 2020 By: <u>Barbara J. Comly</u> Barbara J. Comly EVP, General Counsel & Corporate Secretary			
Subscribed and sworn before me this ____ day of ____, 2020. Based upon relief from Commission Staff and difficulties arising from COVID-19, Miami International Securities Exchange, LLC is making this filing without a notarization.			
Notary Public of the State of New Jersey			
This page must always be completed in full with original, manual signature and notarization. Affix notary stamp or seal where applicable.			

EXHIBIT M

Exhibit Request:

Provide an alphabetical list of all members, subscribers or other users, including the following information:

1. Name;
2. Date of election to membership or acceptance as a member, subscriber or other user;
3. Principal business address and telephone number;
4. If member, subscriber or other user is an individual, the name of the entity with which such individual is associated and the relationship of such individual to the entity (e.g. partner, officer, director, employee, etc.);
5. Describe the type of activities primarily engaged in by the member, subscriber, or other user (e.g. floor broker, specialist, odd lot dealer, other market maker, proprietary trader, non-broker dealer, inactive or other functions). A person shall be “primarily engaged” in an activity or function for purposes of this item when that activity or function is the one in which that person is engaged for the majority of their time. When more than one type of person at an entity engages in any of the six types of activities or functions enumerated in this item, identify each type (e.g. proprietary trader, Registered Competitive Trader and Registered Competitive Market Maker) and state the number of participants, subscribers, or other users in each; and
6. The class of membership, participation or subscription or other access.

Response:

Attached is a list of the members of the Exchange as of September 10, 2020, including the information set forth in items 1-6 above.

ABN AMRO CLEARING CHICAGO LLC

175 West Jackson Blvd., Ste. 2050
Chicago IL 60604
Tele #: (312) 604-8000

Approval Date: 3/7/2013
Membership Activities:
Clearance
International Tele #:

SEC #: 8-34354
CRD #: 14020

AKUNA SECURITIES LLC

333 S. Wabash Avenue, Suite 2600
Chicago IL 60604
Tele #: (312) 994-4640

Approval Date: 4/17/2017
Membership Activities:
Registered Market Maker
International Tele #:

SEC #: 8-68957
CRD #: 159041

AMS DERIVATIVES B.V.

Strawinskylaan 3095
Amsterdam, 1077ZX
Netherlands
Tele #:

Approval Date: 7/1/2019
Membership Activities:
Registered Market Maker
International Tele #: +31 20 708 7748

SEC #: 8-69890
CRD #: 286507

APEX CLEARING CORPORATION

One Dallas Center
350 N. St. Paul, Suite 1300
Dallas TX 75201
Tele #: (214) 765-1100

Approval Date: 11/18/2015
Membership Activities:
Clearance
International Tele #:

SEC #: 8-23522
CRD #: 13071

BARCLAYS CAPITAL INC.

745 Seventh Avenue
New York NY 10019
Tele #: (212) 526-7000

Approval Date: 12/7/2012
Membership Activities:
All Market Maker & Electronic Exchange Options Memberships
International Tele #:

SEC #: 8-41342
CRD #: 19714

BMO CAPITAL MARKETS CORP.

3 Times Square, 27th Floor
New York NY 10036
Tele #: (212) 885-4000

Approval Date: 10/10/2014
Membership Activities:
Order Entry & Clearance
International Tele #:

SEC #: 8-34344
CRD #: 16686

BNP PARIBAS SECURITIES CORP.

787 Seventh Avenue
New York NY 10019
Tele #: (212) 841-2000

Approval Date: 4/21/2014
Membership Activities:
Order Entry & Clearance
International Tele #:

SEC #: 8-32682
CRD #: 15794

BOFA SECURITIES, INC.

One Bryant Park
222 Broadway
New York NY 10038
Tele #: (646) 743-2734

Approval Date: 11/1/2018
Membership Activities:
Order Entry & Clearance
International Tele #:

SEC #: 8-69787
CRD #: 283942

CITADEL SECURITIES LLC

131 South Dearborn Street - 32nd Floor
Chicago IL 60603
Tele #: (312) 395-2100

Approval Date: 12/7/2012
Membership Activities:
Primary Lead, Lead, Registered Market Maker & Order Entry
International Tele #:

SEC #: 8-53574
CRD #: 116797

CITIGROUP GLOBAL MARKETS INC.

390-388 Greenwich Street
New York NY 10013
Tele #: (212) 816-6000

Approval Date: 5/1/2019

SEC #: 8- 8177

Membership Activities:

CRD #: 7059

Order Entry & Clearance

International Tele #:

CREDIT SUISSE SECURITIES (USA) LLC

11 Madison Avenue - 24th Floor
New York NY 10010
Tele #: (212) 325-2000

Approval Date: 12/7/2012

SEC #: 8- 00422

Membership Activities:

CRD #: 816

Order Entry & Clearance

International Tele #:

CUTLER GROUP, LP

101 Montgomery Street, Ste. 700
San Francisco CA 94104
Tele #: (415) 293-3956

Approval Date: 11/2/2015

SEC #: 8- 42415

Membership Activities:

CRD #: 31730

Registered Market Maker & Order Entry

International Tele #:

DASH FINANCIAL TECHNOLOGIES LLC

311 S. Wacker Drive, Ste. 1000
Chicago IL 60606
Tele #: (847) 550-1730

Approval Date: 12/7/2012

SEC #: 8- 52503

Membership Activities:

CRD #: 104031

Order Entry & Clearance

International Tele #:

DRW SECURITIES, L.L.C.

540 West Madison, Ste. 2500
Chicago IL 60661
Tele #: (312) 542-3231

Approval Date: 8/31/2015

SEC #: 8- 51241

Membership Activities:

CRD #: 45908

Order Entry

International Tele #:

GLOBAL EXECUTION BROKERS, LP

401 City Avenue, Ste. 200
Bala Cynwyd PA 19004
Tele #: (610) 617-2600

Approval Date: 12/7/2012

SEC #: 8- 65878

Membership Activities:

CRD #: 126407

Order Entry

International Tele #:

GOLDMAN SACHS & CO. LLC

200 West Street
New York NY 10282
Tele #: (212) 902-1000

Approval Date: 1/15/2013

SEC #: 8- 129

Membership Activities:

CRD #: 361

Order Entry & Clearance

International Tele #:

GROUP ONE TRADING LP

425 S. Financial Place - Ste. 3400
Chicago IL 60605
Tele #: (312) 347-8864

Approval Date: 10/20/2014

SEC #: 8- 47762

Membership Activities:

CRD #: 37484

Primary Lead, Lead, Registered Market Maker & Order Entry

International Tele #:

GTS SECURITIES LLC

545 Madison Avenue, 15th Fl.
New York NY 10022
Tele #: (212) 715-2830

Approval Date: 2/25/2020

SEC #: 8- 68126

Membership Activities:

CRD #: 149224

Primary Lead, Lead & Registered Market Maker

International Tele #:

HRT FINANCIAL LLC

4 World Trade Center
150 Greenwich Street - 57th Floor
New York NY 10007
Tele #: (212) 293-1444

Approval Date: 3/29/2017

SEC #: 8-68430

Membership Activities:

CRD #: 152144

Order Entry

International Tele #:

IMC-CHICAGO, LLC D/B/A IMC FINANCIAL MARKETS

233 South Wacker Drive, Ste. 4300
Chicago IL 60606
Tele #: (312) 244-3300

Approval Date: 8/26/2014

SEC #: 8-52600

Membership Activities:

CRD #: 104143

Primary Lead, Lead & Registered Market Maker

International Tele #:

INSTINET, LLC

Worldwide Plaza
309 West 49th Street
New York NY 10019
Tele #: (212) 310-9500

Approval Date: 3/27/2013

SEC #: 8-23669

Membership Activities:

CRD #: 7897

Order Entry & Clearance

International Tele #:

INTERACTIVE BROKERS LLC

One Pickwick Plaza - 2nd Floor
Greenwich CT 06830
Tele #: (203) 618-5710

Approval Date: 12/7/2012

SEC #: 8-47257

Membership Activities:

CRD #: 36418

Order Entry & Clearance

International Tele #:

J.P. MORGAN SECURITIES LLC

383 Madison Avenue
New York NY 10179
Tele #: (201) 595-8471

Approval Date: 12/7/2012

SEC #: 8-35008

Membership Activities:

CRD #: 79

Order Entry & Clearance

International Tele #:

JANE STREET CAPITAL, LLC

250 Vesey Street - 6th Floor
New York NY 10281
Tele #: (646) 759-6000

Approval Date: 4/2/2018

SEC #: 8-52275

Membership Activities:

CRD #: 103782

Order Entry

International Tele #:

JANE STREET OPTIONS, LLC

250 Vesey Street - 6th Floor
New York NY 10281
Tele #: (646) 759-6000

Approval Date: 4/2/2018

SEC #: 8-66813

Membership Activities:

CRD #: 134159

Order Entry

International Tele #:

JEFFERIES LLC

520 Madison Avenue - 11th Floor
New York NY 10022
Tele #: (212) 284-2300

Approval Date: 9/15/2014

SEC #: 8-15074

Membership Activities:

CRD #: 2347

Order Entry & Clearance

International Tele #:

JUMP TRADING, LLC

600 West Chicago Avenue, Ste. 600
Chicago IL 60654
Tele #: (312) 205-8900

Approval Date: 12/9/2019

SEC #: 8-52989

Membership Activities:

CRD #: 106124

Registered Market Maker

International Tele #:

MATRIX EXECUTIONS, LLC

135 S. LaSalle Street, Ste. 300
Chicago IL 60603
Tele #: (312) 334-8000

Approval Date: 12/7/2012

SEC #: 8-48255

Membership Activities:

CRD #: 38455

Order Entry

International Tele #:

MERRILL LYNCH PROFESSIONAL CLEARING CORP.

One Bryant Park - 6th Floor
NY1-100-06-01
New York NY 10036
Tele #: (646) 743-1295

Approval Date: 12/7/2012

SEC #: 8-33359

Membership Activities:

CRD #: 16139

Clearance

International Tele #:

MORGAN STANLEY & CO. LLC

1585 Broadway
New York NY 10036
Tele #: (212) 761-4000

Approval Date: 12/7/2012

SEC #: 8-15869

Membership Activities:

CRD #: 8209

All Market Maker & Electronic Exchange Options Memberships

International Tele #:

OPTIVER US LLC

130 E. Randolph Street, Ste. 1300
Chicago IL 60601
Tele #: (312) 821-9500

Approval Date: 1/27/2015

SEC #: 8-66083

Membership Activities:

CRD #: 128030

Primary Lead, Lead & Registered Market Maker

International Tele #:

PEAK6 CAPITAL MANAGEMENT LLC

141 W. Jackson Blvd., Ste. 500
Chicago IL 60604
Tele #: (312) 444-8700

Approval Date: 7/22/2015

SEC #: 8-8-50422

Membership Activities:

CRD #: 43773

Order Entry

International Tele #:

PERSHING LLC

One Pershing Plaza - 10th Fl.
Jersey City NJ 07399
Tele #: (201) 413-2000

Approval Date: 3/12/2013

SEC #: 8-17574

Membership Activities:

CRD #: 7560

Order Entry & Clearance

International Tele #:

SIMPLEX TRADING, LLC

230 So. LaSalle St., Ste. 4-100
Chicago IL 60604
Tele #: (312) 360-2440

Approval Date: 9/20/2013

SEC #: 8-68556

Membership Activities:

CRD #: 153585

Order Entry

International Tele #:

SUSQUEHANNA INVESTMENT GROUP

401 City Avenue, Ste. 201
Bala Cynwyd PA 19004
Tele #: (610) 617-2600

Approval Date: 11/23/2016

SEC #: 8-37520

Membership Activities:

CRD #: 33875

Registered Market Maker

International Tele #:

SUSQUEHANNA SECURITIES, LLC

401 City Avenue, Ste. 220
Bala Cynwyd PA 19004
Tele #: (610) 617-2600

Approval Date: 12/7/2012

SEC #: 8-47034

Membership Activities:

CRD #: 35874

Primary Lead, Lead & Registered Market Maker

International Tele #:

TWO SIGMA SECURITIES, LLC

100 Avenue of the Americas - 2nd Fl.
New York NY 10013
Tele #: (212) 625-5700

Approval Date: 9/12/2017

SEC #: 8-68090

Membership Activities:

CRD #: 148960

Primary Lead, Lead, Registered Market Maker & Order Entry

International Tele #:

UBS SECURITIES LLC

1285 Avenue of the Americas
New York NY 10019
Tele #: (203) 719-3000

Approval Date: 12/7/2012

SEC #: 8-22651

Membership Activities:

CRD #: 7654

Order Entry & Clearance

International Tele #:

VOLANT EXECUTION, LLC

233 S. Wacker Drive, Ste. 4040
Chicago IL 60606
Tele #: (312) 692-5000

Approval Date: 12/7/2012

SEC #: 8-66826

Membership Activities:

CRD #: 134284

Order Entry & Clearance

International Tele #:

WALLEYE TRADING LLC

2800 Niagara Lane North, Ste. 102
Plymouth MN 55447
Tele #: (952) 345-6611

Approval Date: 5/13/2015

SEC #: 8-66988

Membership Activities:

CRD #: 136196

Registered Market Maker & Order Entry

International Tele #:

WEDBUSH SECURITIES INC.

1000 Wilshire Boulevard, Suite 900
ATTN: Business Conduct
Los Angeles CA 90017
Tele #: (213) 688-8090

Approval Date: 12/7/2012

SEC #: 8-12987

Membership Activities:

CRD #: 877

Clearance

International Tele #:

WELLS FARGO SECURITIES, LLC

550 South Tryon Street - 6th Floor
D1086-060
Charlotte NC 28202
Tele #: (704) 715-6133

Approval Date: 4/11/2014

SEC #: 8-65876

Membership Activities:

CRD #: 126292

Order Entry & Clearance

International Tele #:

WOLVERINE EXECUTION SERVICES, LLC

175 W. Jackson Blvd., Ste. 200
Chicago IL 60604
Tele #: (312) 884-4000

Approval Date: 12/7/2012

SEC #: 8-65336

Membership Activities:

CRD #: 120719

Order Entry & Clearance

International Tele #:

WOLVERINE TRADING, LLC

175 W. Jackson Blvd., Ste. 200
Chicago IL 60604
Tele #: (312) 884-3490

Approval Date: 12/7/2012

SEC #: 8-47484

Membership Activities:

CRD #: 36848

Primary Lead, Lead & Registered Market Maker

International Tele #:

X-CHANGE FINANCIAL ACCESS, LLC

440 So. LaSalle Street, Suite 2900
Chicago IL 60605
Tele #: (312) 235-0320

Approval Date: 11/28/2018

SEC #: 8-65860

Membership Activities:

CRD #: 126201

Order Entry & Clearance

International Tele #:

Total Firms 45