



Clare F. Saperstein
Associate General Counsel

New York Stock Exchange
11 Wall Street
New York, NY 10005
T + 1 212 656 2355
Clare.Saperstein@theice.com

Via Electronic Mail

August 21, 2020

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 7010
Washington, DC 20549

Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find the electronically signed Form 19b-4(e) with respect to the securities listed below.

Aware Ultra-Short Duration Enhanced Income ETF (AWTM)
Change Finance U.S. Large Cap Fossil Fuel Free ETF (CHGX)
Principal Spectrum Preferred Securities Active ETF (PREF)
Global X S&P 500 Covered Call ETF (XYLD)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Clare F. Saperstein

For Internal Use Only		Submit 1 Original		OMB Approval No.:
Sec File No. 91 -		and 9 Copies		Expires:
Estimated average burden hours per response: 2.00				
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e)				
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934				
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM				
Part I		Initial Listing Report		
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
NYSE Arca, Inc.				
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):				
Open-end Management Investment Company				
3. Class of New Derivative Securities Product:				
Managed Fund Shares				
4. Name of Underlying Instrument:				 20012508
n/a				
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:				
n/a				
6. Ticker Symbol(s) of New Derivative Securities Product:				
PREF				
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:				
n/a				
8. Settlement Methodology of New Derivative Securities Product:				
Regular way trades settle on T+2/Book entry only held in DTC.				
9. Position Limits of New Derivative Securities Product (if applicable):				
Not applicable.				
Part II		Execution		
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name of Official Responsible for Form:				
Clare F. Saperstein				
Title:				
Associate General Counsel				
Telephone Number:				
(212) 656-2355				
Manual Signature of Official Responsible for Form:				
Clare F. Saperstein				
August 21, 2020				