



Barbara J. Comly
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MAR 05 2020

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March 3, 2020

VIA FEDERAL EXPRESS

Jeannette Marshall
Division of Trading and Markets
Office of Market Supervision
Securities and Exchange Commission
100 F. Street, N.E.
Washington, D.C. 20549

**Re: Miami International Securities Exchange, LLC ("MIAX")
Amendment No. 2020-4 to Form 1 Application**

Dear Ms. Marshall:

Enclosed for official filing pursuant to Rule 6a-2(a) are an original and two copies of Amendment No. 2020-4 to the Form 1 Application of MIAX, which includes the following changes:

Exhibit M – Updated Member List

Please do not hesitate to contact me if you have any questions in connection with this matter.

Very truly yours,


Barbara J. Comly
EVP, General Counsel & Corporate Secretary

Enclosures

cc: Marlene Olsen ✓

Securities and Exchange Commission
Trading and Markets

MAR 05 2020

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Warning: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative or criminal action

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS

APPLICATION AMENDMENT

1. State the name of the applicant: Miami International Securities Exchange, LLC
 2. Provide the applicant's primary street address (Do not use a P.O. Box): 7 Roszel Road, Suite 1A, Princeton, NJ 08540
 3. Provide the applicant's mailing address (if different):
 4. Provide the applicant's business telephone and facsimile number:
Telephone: 609-897-7300; Facsimile: 609-987-2210
 5. Provide the name, title and telephone number of a contact employee:
Barbara J. Comly, EVP, General Counsel & Corporate Secretary
609-897-7315
 6. Provide the name and address of counsel for the applicant:
Barbara J. Comly, EVP, General Counsel & Corporate Secretary
Miami International Securities Exchange, LLC
7 Roszel Road, Suite 1A
Princeton, NJ 08540
 7. Provide the date applicant's fiscal year ends: December 31
 8. Indicate legal status of applicant: Corporation Sole Proprietorship Partnership
 Limited Liability Company Other (specify):
- If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):
- (a) Date (MM/DD/YY): 9/10/07 (b) State/Country of formation: Delaware
- (c) Statute under which applicant was organized: Delaware Limited Liability Company Act, 6 Del. C. 18-1d et seq.

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EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.

MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC

Date: March 3, 2020

By: *Barbara J. Comly*
Barbara J. Comly
EVP, General Counsel & Corporate Secretary

Subscribed and sworn before me this 3rd day of March, 2020.

Jane Post
Jane Post
Notary Public of the State of New Jersey
My Commission Expires October 27, 2024

EXHIBIT M

Exhibit Request:

Provide an alphabetical list of all members, subscribers or other users, including the following information:

1. **Name;**
2. **Date of election to membership or acceptance as a member, subscriber or other user;**
3. **Principal business address and telephone number;**
4. **If member, subscriber or other user is an individual, the name of the entity with which such individual is associated and the relationship of such individual to the entity (e.g. partner, officer, director, employee, etc.);**
5. **Describe the type of activities primarily engaged in by the member, subscriber, or other user (e.g. floor broker, specialist, odd lot dealer, other market maker, proprietary trader, non-broker dealer, inactive or other functions). A person shall be "primarily engaged" in an activity or function for purposes of this item when that activity or function is the one in which that person is engaged for the majority of their time. When more than one type of person at an entity engages in any of the six types of activities or functions enumerated in this item, identify each type (e.g. proprietary trader, Registered Competitive Trader and Registered Competitive Market Maker) and state the number of participants, subscribers, or other users in each; and**
6. **The class of membership, participation or subscription or other access.**

Response:

Attached is a list of the members of the Exchange as of February 27, 2020, including the information set forth in items 1-6 above.

MEMBER FIRMS

ABN AMRO CLEARING CHICAGO LLC

175 West Jackson Blvd., Ste. 2050
Chicago IL 60604
Tele #: (312) 604-8000

Approval Date: 3/7/2013
MIAX Options Membership Activities:
Electronic Exch. Member: Clearance
International Tele #:

AKUNA SECURITIES LLC

333 S. Wabash Avenue, Suite 2600
Chicago IL 60604
Tele #: (312) 994-4640

Approval Date: 4/17/2017
MIAX Options Membership Activities:
Registered Market Maker
International Tele #:

AMS DERIVATIVES B.V.

Strawinskylaan 3095
Amsterdam, 1077ZX
Netherlands
Tele #:

Approval Date: 7/1/2019
MIAX Options Membership Activities:
Registered Market Maker
International Tele #: +31 20 708 7748

APEX CLEARING CORPORATION

One Dallas Center
350 N. St. Paul, Suite 1300
Dallas TX 75201
Tele #: (214) 765-1100

Approval Date: 11/18/2015
MIAX Options Membership Activities:
Electronic Exch. Member: Clearance
International Tele #:

BARCLAYS CAPITAL INC.

745 Seventh Avenue
New York NY 10019
Tele #: (212) 526-7000

Approval Date: 12/7/2012
MIAX Options Membership Activities:
All Memberships
International Tele #:

BMO CAPITAL MARKETS CORP.

3 Times Square, 27th Floor
New York NY 10036
Tele #: (212) 885-4000

Approval Date: 10/10/2014
MIAX Options Membership Activities:
Electronic Exch. Member: Order Flow/Clearance
International Tele #:

BNP PARIBAS SECURITIES CORP.

787 Seventh Avenue
New York NY 10019
Tele #: (212) 841-2000

Approval Date: 4/21/2014
MIAX Options Membership Activities:
Electronic Exch. Member: Order Flow/Clearance
International Tele #:

BOFA SECURITIES, INC.

One Bryant Park
222 Broadway
New York NY 10038
Tele #: (646) 743-2734

Approval Date: 11/1/2018
MIAX Options Membership Activities:
Electronic Exch. Member: Order Flow/Clearance
International Tele #:

CITADEL SECURITIES LLC

131 South Dearborn Street - 32nd Floor
Chicago IL 60603

Tele #: (312) 395-2100

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Primary Lead & Lead Market Maker/EEM: Order Flow

International Tele #:

CITIGROUP GLOBAL MARKETS INC.

390-388 Greenwich Street
New York NY 10013

Tele #: (212) 816-6000

Approval Date: 5/1/2019

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

CREDIT SUISSE SECURITIES (USA) LLC

11 Madison Avenue - 24th Floor
New York NY 10010

Tele #: (212) 325-2000

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

CUTLER GROUP, LP

101 Montgomery Street, Ste. 700
San Francisco CA 94104

Tele #: (415) 293-3956

Approval Date: 11/2/2015

MIAX Options Membership Activities:

Registered Market Maker/EEM: Order Flow

International Tele #:

DASH FINANCIAL TECHNOLOGIES LLC

311 S. Wacker Drive, Ste. 1000
Chicago IL 60606

Tele #: (847) 550-1730

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

DEUTSCHE BANK SECURITIES INC.

60 Wall Street
New York NY 10005

Tele #: (212) 250-2500

Approval Date: 1/25/2013

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

DRW SECURITIES, L.L.C.

540 West Madison, Ste. 2500
Chicago IL 60661

Tele #: (312) 542-3231

Approval Date: 8/31/2015

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow

International Tele #:

GLOBAL EXECUTION BROKERS, LP

401 City Avenue, Ste. 200
Bala Cynwyd PA 19004

Tele #: (610) 617-2600

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow

International Tele #:

GOLDMAN SACHS & CO. LLC

200 West Street
New York NY 10282

Tele #: (212) 902-1000

Approval Date: 1/15/2013

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

GROUP ONE TRADING LP

425 S. Financial Place - Ste. 3400
Chicago IL 60605

Tele #: (312) 347-8864

Approval Date: 10/20/2014

MIAX Options Membership Activities:

All Market Maker Classes/EEM: Order Flow

International Tele #:

GTS SECURITIES LLC

545 Madison Avenue, 15th Fl.
New York NY 10022

Tele #: (212) 715-2830

Approval Date: 2/25/2020

MIAX Options Membership Activities:

Primary Lead & Lead Market Maker

International Tele #:

HRT FINANCIAL LLC

4 World Trade Center
150 Greenwich Street - 57th Floor
New York NY 10007

Tele #: (212) 293-1444

Approval Date: 3/29/2017

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow

International Tele #:

IMC-CHICAGO, LLC D/B/A IMC FINANCIAL MARKETS

233 South Wacker Drive, Ste. #4300
Chicago IL 60606

Tele #: (312) 244-3300

Approval Date: 8/26/2014

MIAX Options Membership Activities:

All Market Maker Classes

International Tele #:

INSTINET, LLC

Worldwide Plaza
309 West 49th Street
New York NY 10019

Tele #: (212) 310-9500

Approval Date: 3/27/2013

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

INTERACTIVE BROKERS LLC

One Pickwick Plaza - 2nd Floor
Greenwich CT 06830

Tele #: (203) 618-5710

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

J.P. MORGAN SECURITIES LLC

383 Madison Avenue
New York NY 10179

Tele #: (201) 595-8471

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

International Tele #:

JANE STREET CAPITAL, LLC

250 Vesey Street - 6th Floor
New York NY 10281

Tele #: (646) 759-6000

Approval Date: 4/2/2018

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow

International Tele #:

JANE STREET OPTIONS, LLC

250 Vesey Street - 6th Floor
New York NY 10281

Tele #: (646) 759-6000

Approval Date: 4/2/2018

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow

International Tele #:

JEFFERIES LLC520 Madison Avenue - 11th Floor
New York NY 10022

Tele #: (212) 284-2300

Approval Date: 9/15/2014

MIAX Options Membership Activities:
Electronic Exch. Member: Order Flow/ClearanceInternational Tele #:

JUMP TRADING, LLC600 West Chicago Avenue, Ste. 825
Chicago IL 60654

Tele #: (312) 205-8900

Approval Date: 12/9/2019

MIAX Options Membership Activities:
Registered Market MakerInternational Tele #:

MATRIX EXECUTIONS, LLC135 S. LaSalle Street, Ste. 300
Chicago IL 60603

Tele #: (312) 334-8000

Approval Date: 12/7/2012

MIAX Options Membership Activities:
Electronic Exch. Member: Order FlowInternational Tele #:

MERRILL LYNCH PROFESSIONAL CLEARING CORP.One Bryant Park - 6th Floor
NY1-100-06-01
New York NY 10036

Tele #: (646) 743-1295

Approval Date: 12/7/2012

MIAX Options Membership Activities:
Electronic Exch. Member: ClearanceInternational Tele #:

MORGAN STANLEY & CO. LLC1585 Broadway
New York NY 10036

Tele #: (212) 761-4000

Approval Date: 12/7/2012

MIAX Options Membership Activities:
All MembershipsInternational Tele #:

OPTIVER US LLC130 E. Randolph Street, Ste. 1300
Chicago IL 60601

Tele #: (312) 821-9500

Approval Date: 1/27/2015

MIAX Options Membership Activities:
Primary Lead & Lead Market MakerInternational Tele #:

PEAK6 CAPITAL MANAGEMENT LLC141 W. Jackson Blvd., Ste. 500
Chicago IL 60604

Tele #: (312) 444-8700

Approval Date: 7/22/2015

MIAX Options Membership Activities:
Electronic Exch. Member: Order FlowInternational Tele #:

PERSHING LLCOne Pershing Plaza - 10th Fl.
Jersey City NJ 07399

Tele #: (201) 413-2000

Approval Date: 3/12/2013

MIAX Options Membership Activities:
Electronic Exch. Member: Order Flow/ClearanceInternational Tele #:

SIMPLEX TRADING, LLC230 So. LaSalle St., Ste. 4-100
Chicago IL 60604

Tele #: (312) 360-2440

Approval Date: 9/20/2013

MIAX Options Membership Activities:
Electronic Exch. Member: Order FlowInternational Tele #:

SUSQUEHANNA INVESTMENT GROUP

401 City Avenue, Ste. 201
Bala Cynwyd PA 19004

Tele #: (610) 617-2600

International Tele #:

Approval Date: 11/23/2016

MIAX Options Membership Activities:

Registered Market Maker

SUSQUEHANNA SECURITIES

401 City Avenue, Ste. 220
Bala Cynwyd PA 19004

Tele #: (610) 617-2600

International Tele #:

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Primary Lead & Lead Market Maker

TWO SIGMA SECURITIES, LLC

100 Avenue of the Americas - 2nd Fl.
New York NY 10013

Tele #: (212) 625-5700

International Tele #:

Approval Date: 9/12/2017

MIAX Options Membership Activities:

Primary Lead & Lead Market Maker/EEM: Order Flow

UBS SECURITIES LLC

1285 Avenue of the Americas
New York NY 10019

Tele #: (203) 719-3000

International Tele #:

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

VOLANT EXECUTION, LLC

233 S. Wacker Drive, Ste. 4040
Chicago IL 60606

Tele #: (312) 692-5000

International Tele #:

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

WALLEYE TRADING LLC

2800 Niagara Lane North, Ste. 102
Plymouth MN 55447

Tele #: (952) 345-6611

International Tele #:

Approval Date: 5/13/2015

MIAX Options Membership Activities:

Registered Market Maker/EEM: Order Flow

WEDBUSH SECURITIES INC.

1000 Wilshire Boulevard, Suite 900
ATTN: Business Conduct
Los Angeles CA 90017

Tele #: (213) 688-8090

International Tele #:

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Clearance

WELLS FARGO SECURITIES, LLC

550 South Tryon Street - 6th Floor
D1086-060
Charlotte NC 28202

Tele #: (704) 715-6133

International Tele #:

Approval Date: 4/11/2014

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

WOLVERINE EXECUTION SERVICES, LLC

175 W. Jackson Blvd., Ste. 200
Chicago IL 60604

Tele #: (312) 884-4000

International Tele #:

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

WOLVERINE TRADING, LLC

175 W. Jackson Blvd., Ste. 200
Chicago IL 60604

Tele #: (312) 884-3490

International Tele #:

Approval Date: 12/7/2012

MIAX Options Membership Activities:

Primary Lead & Lead Market Maker

X-CHANGE FINANCIAL ACCESS, LLC

440 So. LaSalle Street, Suite 2900
Chicago IL 60605

Tele #: (312) 235-0320

International Tele #:

Approval Date: 11/28/2018

MIAX Options Membership Activities:

Electronic Exch. Member: Order Flow/Clearance

Total Firms 46