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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open End Management Investment Company

3. Class of New Derivative Securities Product:

Exchange Traded Fund Shares under Nasdaq Rule 5704

4. Name of Underlying Instrument:

See Attachment A



5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

See Attachment A

6. Ticker Symbol(s) of New Derivative Securities Product:

See Attachment A

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

See Attachment A

8. Settlement Methodology of New Derivatives Product:

Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number:

1-301-978-8088

Manual Signature of Official Responsible for Form:

William Slattery

Date: **July 6, 2020**

Attachment A

4. Name of Underlying Instrument	5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based	6. Ticker Symbol(s) of New Derivative Securities Product	7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades
Active portfolio of primarily equity securities	N/A	CACG	NASDAQ, NEW YORK STOCK EXCHANGE
RARE Global Infrastructure Index	Broad-Based	INFR	ASX - ALL MARKETS BM&FBOVESPA S.A. - BOLSA DE VALORES, MERCADORIAS E FUTUROS, BOLSA DE MADRID, BOLSA MEXICANA DE VALORES, BURSA MALAYSIA, ELECTRONIC SHARE MARKET, KOREA EXCHANGE, LONDON STOCK EXCHANGE, MICEX STOCK EXCHANGE, NASDAQ DUBAI, NASDAQ, NEW YORK STOCK EXCHANGE, NEW ZEALAND EXCHANGE LTD, EURONEXT AMSTERDAM, EURONEXT LISBON, EURONEXT PARIS, PHILIPPINE STOCK EXCHANGE, INC., SANTIAGO STOCK EXCHANGE, STOCK EXCHANGE OF HONG KONG LTD, STOCK EXCHANGE OF THAILAND, SWISS EXCHANGE, THE PRAGUE STOCK EXCHANGE, TOKYO STOCK EXCHANGE, TORONTO STOCK EXCHANGE, XETRA
Active portfolio of primarily equity securities	N/A	LRGE	NASDAQ, NEW YORK STOCK EXCHANGE
QS Low Volatility High Dividend Index	Broad-Based	LVHD	NASDAQ, NEW YORK STOCK EXCHANGE, NYSE AMERICAN
Royce Small-Cap Quality Value Index	Broad-Based	SQLV	NASDAQ, NEW YORK STOCK EXCHANGE, NYSE AMERICAN
Actively managed portfolio of primarily fixed income securities	N/A	WBND	BERLIN STOCK EXCHANGE, BOLSA DE COMERIO DE BUENOS AIRES,

			DUSSELDORF STOCK EXCHANGE, EURO TLX, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HONG KONG STOCK EXCHANGE, IRISH STOCK EXCHANGE, LONDON STOCK EXCHANGE, LUXEMBOURG STOCK EXCHANGE, MERCADO ABIERTO ELECTRONICO S.A., BORSA ITALIANA S.P.A., MUNICH STOCK EXCHANGE, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, NASDAQ STOCKHOLM AB, STUTTGART STOCK EXCHANGE, SIX SWISS EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
Actively managed portfolio of primarily fixed income securities	N/A	WINC	BERLIN STOCK EXCHANGE, DUSSELDORF STOCK EXCHANGE, EURO TLX, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, IRISH STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, MTS BONDVISION, BORSA ITALIANA S.P.A., MARKETAXESS, MUNICH STOCK EXCHANGE, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, NASDAQ STOCKHOLM AB, STUTTGART STOCK EXCHANGE, SIX SWISS EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG
Active portfolio of primarily equity securities	N/A	YLDE	NASDAQ, NEW YORK STOCK EXCHANGE