



July 2, 2020

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – Cboe BZX Exchange, Inc.**

Ms. Ransom,

On behalf of Cboe BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding forty-four (44) derivative securities products that listed on Cboe BZX Exchange, Inc. pursuant to Rule 14.11(l) as Exchange-Traded Fund Shares, effective July 1, 2020. Please contact me if you have any questions in connection with this matter.

Sincerely,

A handwritten signature in cursive script that reads "Kyle Murray".

Kyle Murray  
VP, Associate General Counsel  
913.815.7121  
Signature executed at 9:00am on 07/02/20

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

## OMB APPROVAL

OMB Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
hours per response. . . . 3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Cboe BZX Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
3. Class of New Derivative Securities Product:  
See Attached.
4. Name of Underlying Instrument:  
See Attached.  20012281
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

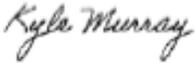
**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Kyle Murray

Title:  
VP, Associate General Counsel

Telephone Number:  
913-815-7121

Manual Signature of Official Responsible for Form:  [Signature executed at 9:00am on 07/02/20]

Date:  
July 2, 2020

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BZX EXCHANGE, INC.  
 COMMENCING ON  
 JULY 1, 2020

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
UJAN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PJAN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BJAN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BOCT	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
POCT	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UOCT	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BJUL	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UJUL	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PJUL	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BAPR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a

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COMMENCING ON  
JULY 1, 2020

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
PAPR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UAPR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
NJUN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UJUN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PJUN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BAUG	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UAUG	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PAUG	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BSEP	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
USEP	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PSEP	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BFEB	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PFEB	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UFEB	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a

ATTACHMENT TO FORM 19b-4(e)  
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COMMENCING ON  
JULY 1, 2020

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
BMAR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PMAR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UMAR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BMAY	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PMAY	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UMAY	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
KOCT	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
NOCT	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
KAPR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
NAPR	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BNOV	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PNOV	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UNOV	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
BDEC	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
PDEC	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
UDEC	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
KJAN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
NJAN	Trust	ETF Shares under Rule 14.11(l)	FLEX Options	U.S.	n/a
LVHB	Trust	ETF Shares under Rule 14.11(l)	Lunt Capital US Large Cap Equity Rotation Index	U.S.	Broad
EPRF	Trust	ETF Shares under Rule 14.11(l)	S&P U.S. High Quality Preferred Stock Total Return Index	U.S.	Broad