



**Martha Redding**  
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Assistant Secretary

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**Via Electronic Mail**

June 5, 2020

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

**Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find the electronically signed Form 19b-4(e) with respect to the securities listed below.

**AllianzIM U.S. Large Cap Buffer10 Apr ETF (AZAA)**  
**AllianzIM U.S. Large Cap Buffer20 Apr ETF (AZBA)**  
**O'Shares U.S. Small-Cap Quality Dividend ETF (OUSM)**  
**O'Shares U.S. Quality Dividend ETF (OUSA)**  
**O'Shares Europe Quality Dividend ETF (OEUR)**  
**ETRACS Quarterly Pay 1.5X Leveraged Wells Fargo BDC Index ETN (BDCX)**  
**ETRACS Monthly Pay 1.5X Leveraged Mortgage REIT ETN (MVRL)**  
**ETRACS Monthly Pay 1.5X Leveraged Closed-End Fund Index ETN (CEFD)**  
**ETRACS Quarterly Pay 1.5X Leveraged Alerian MLP Index ETN (MLPR)**  
**Global X Emerging Markets Bond ETF (EMBD)**  
**Roundhill Sports Betting & iGaming ETF (BETZ)**  
**TrueShares ESG Active Opportunities ETF (ECOZ)**  
**TrueShares Technology, AI & Deep Learning ETF (LRNZ)**  
**Core Alternative ETF (CCOR)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Martha Redding

|                                                                                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------------|--|-------------------------------------------------------------------------------------------------|
| For Internal Use Only                                                                                                                                                                                                                                                                                                                                                     |  | Submit 1 Original             |  | OMB Approval No.:                                                                               |
| Sec File No. 91 -                                                                                                                                                                                                                                                                                                                                                         |  | and 9 Copies                  |  | Expires:                                                                                        |
| Estimated average burden hours per response: 2.00                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| <b>UNITED STATES</b><br><b>SECURITIES AND EXCHANGE COMMISSION</b><br>Washington, D.C. 20549<br><b>FORM 19b-4(e)</b>                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| <b>Information Required of a Self-Regulatory Organization Listing and Trading a New</b><br><b>Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934</b>                                                                                                                                                                       |  |                               |  |                                                                                                 |
| <b>READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM</b>                                                                                                                                                                                                                                                                                                                     |  |                               |  |                                                                                                 |
| <b>Part I</b>                                                                                                                                                                                                                                                                                                                                                             |  | <b>Initial Listing Report</b> |  |                                                                                                 |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:                                                                                                                                                                                                                                                                                        |  |                               |  |                                                                                                 |
| NYSE Arca, Inc.                                                                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| Open-end Management Investment Company                                                                                                                                                                                                                                                                                                                                    |  |                               |  |                                                                                                 |
| 3. Class of New Derivative Securities Product:                                                                                                                                                                                                                                                                                                                            |  |                               |  |                                                                                                 |
| Exchange-Traded Fund Shares                                                                                                                                                                                                                                                                                                                                               |  |                               |  | <br>20012167 |
| 4. Name of Underlying Instrument:                                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| n/a                                                                                                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:                                                                                                                                                                                                                                                                                 |  |                               |  |                                                                                                 |
| n/a                                                                                                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| 6. Ticker Symbol(s) of New Derivative Securities Product:                                                                                                                                                                                                                                                                                                                 |  |                               |  |                                                                                                 |
| ECOZ                                                                                                                                                                                                                                                                                                                                                                      |  |                               |  |                                                                                                 |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| n/a                                                                                                                                                                                                                                                                                                                                                                       |  |                               |  |                                                                                                 |
| 8. Settlement Methodology of New Derivative Securities Product:                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| Regular way trades settle on T+2/Book entry only held in DTC.                                                                                                                                                                                                                                                                                                             |  |                               |  |                                                                                                 |
| 9. Position Limits of New Derivative Securities Product (if applicable):                                                                                                                                                                                                                                                                                                  |  |                               |  |                                                                                                 |
| Not applicable.                                                                                                                                                                                                                                                                                                                                                           |  |                               |  |                                                                                                 |
| <b>Part II</b>                                                                                                                                                                                                                                                                                                                                                            |  | <b>Execution</b>              |  |                                                                                                 |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. |  |                               |  |                                                                                                 |
| Name of Official Responsible for Form:                                                                                                                                                                                                                                                                                                                                    |  |                               |  |                                                                                                 |
| Martha Redding                                                                                                                                                                                                                                                                                                                                                            |  |                               |  |                                                                                                 |
| Title:                                                                                                                                                                                                                                                                                                                                                                    |  |                               |  |                                                                                                 |
| Associate General Counsel and Assistant Secretary                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| Telephone Number:                                                                                                                                                                                                                                                                                                                                                         |  |                               |  |                                                                                                 |
| (212) 656-2938                                                                                                                                                                                                                                                                                                                                                            |  |                               |  |                                                                                                 |
| Manual Signature of Official Responsible for Form:                                                                                                                                                                                                                                                                                                                        |  |                               |  |                                                                                                 |
| Martha Redding                                                                                                                                                                                                                                                                                                                                                            |  |                               |  |                                                                                                 |
| June 5, 2020                                                                                                                                                                                                                                                                                                                                                              |  |                               |  |                                                                                                 |