



April 22, 2020

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – Cboe BZX Exchange, Inc.**

Ms. Ransom,

On behalf of Cboe BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding fourteen (14) previously listed derivative securities products that transitioned to listing on Cboe BZX Exchange, Inc. pursuant to Rule 14.11(l) as Exchange-Traded Fund Shares, effective April 17, 2020. Please contact me if you have any questions in connection with this matter.

Sincerely,

A handwritten signature in cursive script that reads "Kyle Murray".

Kyle Murray  
VP, Associate General Counsel  
913.815.7121  
Signature executed at 9:00am on 04/22/20

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

## OMB APPROVAL

OMB Number: 3235-0504  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Cboe BZX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.

3. Class of New Derivative Securities Product:  
See Attached.



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4. Name of Underlying Instrument:  
See Attached.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Kyle Murray

Title:  
VP, Associate General Counsel

Telephone Number:  
913-815-7121

Manual Signature of Official Responsible for Form: *Kyle Murray* [Signature executed at 9:00am on 04/22/20]

Date:  
April 22, 2020

ATTACHMENT TO FORM 19b-4(e)  
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BZX EXCHANGE, INC.  
COMMENCING ON  
APRIL 17, 2020

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
XMPT	Trust	ETF Shares under Rule 14.11(l)	S-Network Municipal Bond Closed-End Fund Index	U.S.	Narrow
SHYD	Trust	ETF Shares under Rule 14.11(l)	Bloomberg Barclays Municipal High Yield Short Duration Index	U.S.	Narrow
HYD	Trust	ETF Shares under Rule 14.11(l)	Bloomberg Barclays Municipal Custom High Yield Composite Index	U.S.	Narrow
ITM	Trust	ETF Shares under Rule 14.11(l)	Bloomberg Barclays AMT-Free Intermediate Continuous Municipal Index	U.S.	Narrow
SMB	Trust	ETF Shares under Rule 14.11(l)	Bloomberg Barclays AMT-Free Short Continuous Municipal Index	U.S.	Narrow
MLN	Trust	ETF Shares under Rule 14.11(l)	Bloomberg Barclays AMT-Free Long Continuous Municipal Index	U.S.	Narrow
MAAX	Trust	ETF Shares under Rule 14.11(l)	Equity Securities	U.S.	Broad
MOTI	Trust	ETF Shares under Rule 14.11(l)	Morningstar Global ex-US Moat Focus Index	World	Broad
DURA	Trust	ETF Shares under Rule 14.11(l)	Morningstar US Dividend Valuation Index	U.S.	Broad
MOAT	Trust	ETF Shares under Rule 14.11(l)	Morningstar Wide Moat Focus Index	U.S.	Broad

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 COMMENCING ON  
 APRIL 17, 2020

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
GOAT	Trust	ETF Shares under Rule 14.11(l)	Morningstar Global Wide Moat Focus Index	World	Broad
RSX	Trust	ETF Shares under Rule 14.11(l)	MVIS Russia Index	World	Narrow
RSXJ	Trust	ETF Shares under Rule 14.11(l)	MVIS Russia Small-Cap Index	World	Narrow
VNM	Trust	ETF Shares under Rule 14.11(l)	MVIS Vietnam Index	World	Narrow