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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open End Management Investment Company

3. Class of New Derivative Securities Product:

Exchange Traded Fund



4. Name of Underlying Instrument:

Nasdaq BulletShares USD Corporate Bond 2021 Index

20004873

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-B

Broad- Based

6. Ticker Symbol(s) of New Derivative Securities Product:

BSCL

SEC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

BERLIN, FRANKFURT, NEW YORK

Mail Processing
Center

8. Settlement Methodology of New Derivatives Product:

Regular way trades settle on T + 2 (cash settled)

JAN 07 2020

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Yolanda Goettsch

Title:

Vice President

Telephone Number:

1-301-978-8486

Manual Signature of Official Responsible for Form:

Yolanda Goettsch

Date: January 3, 2020

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|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JAN 07 2020 |

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