



SEC

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**ANNUAL AUDITED REPORT
FORM X-17A-5
PART III**

OMB APPROVAL
OMB Number : 3235-0123
Expires: August 31, 2020
Estimated average burden
hours per response...12.00

PUBLIC

SEC FILE NUMBER
8- 67515

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/18 AND ENDING 12/31/18
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: PINNACLE INVESTMENTS, LLC

Official Use Only

FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

507 PLUM STREET, SUITE 120

(No. and Street)

SYRACUSE

NEW YORK

13204

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

RYAN W. YORK, CEO

(315) 251-1101

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

DANNIBLE & MCKEE, LLP

221 S. WARREN ST.

SYRACUSE

NY

(Address)

(City)

(State)

SEC
Mail Processing
Section 13202
FEB 13 2001
Washington L
413

CHECK ONE:

- Certified Public Accountant**
- Public Accountant**
- Accountant not resident in United States or any of its possessions**

FOR OFFICIAL USE ONLY

** Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)*

SEC 1410 (06-02)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

RMS

OATH OR AFFIRMATION

I, **Ryan W. York**, swear (or affirm) that to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of **Pinnacle Investments, LLC**, as of **December 31, 2018**, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

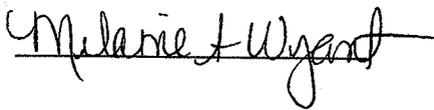
N/A

Melanie A Wyant
01WY6315878
Notary Public, State of New York
Qualified in Cayuga County
My commission expires DECEMBER 1st 2022

Signature

CEO

Title



This report ** contains (check all applicable boxes):

- (a) Facing page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the Securities Investor Protection Corporation Supplemental Report
- (n) Report on management's assertion letter regarding 15c3-3 Exemption Report
- (o) Management's assertion letter regarding 15c3-3 Exemption Report

** For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

PINNACLE INVESTMENTS, LLC
STATEMENT OF FINANCIAL CONDITION
AS OF DECEMBER 31, 2018

Assets

Assets:	
Cash and cash equivalents (Note 2)	\$ 579,023
Cash deposited with clearing organization (Note 3)	100,000
Marketable securities at market value (Note 4)	8,679
Receivable from broker-dealers, net (Note 5)	349,509
Prepaid expenses	43,222
Due from related parties (Note 10)	68,139
Furniture and equipment, net (Note 6)	<u>13,472</u>
Total assets	<u>\$ 1,162,044</u>

Liabilities and Member's Equity

Liabilities:	
Deferred revenue (Note 8)	\$ 496,182
Accrued expenses and other liabilities	<u>575,738</u>
Total liabilities	<u>1,071,920</u>
Member's equity:	<u>90,124</u>
Total liabilities and member's equity	<u>\$ 1,162,044</u>