

091-26684jm

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

ICE 0-5 Year Duration Exchange-Listed Preferred & Hybrid Securities Index

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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

NOV 27 2019

6. Ticker Symbol(s) of New Derivative Securities Product:

PFLD

Washington, DC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NYSE American, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+2/Book entry only held in DTC.



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9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Securities and Exchange Commission
Trading and Markets

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

NOV 26 2019

Manual Signature of Official Responsible for Form:

RECEIVED

November 25, 2019

SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 27 2019



Martha Redding
Associate General Counsel
Assistant Secretary

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Martha.Redding@theice.com

Via Overnight Mail

November 25, 2019

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 7010
Washington, DC 20549

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Washington, DC

Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

AAM Low Duration Preferred and Income Securities ETF (PFLD)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Securities and Exchange Commission
Trading and Markets

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Enclosures