

091-26744m

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open-end Management Investment Company

SEC
Mail Processing
Section

3. Class of New Derivative Securities Product:
Investment Company Units

DEC 20 2019
Washington DC

4. Name of Underlying Instrument:
RAFI ESG US Index

410

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
RAFE



19004445

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Martha Redding

Title:
Associate General Counsel and Assistant Secretary

Telephone Number:
(212) 656-2938

Manual Signature of Official Responsible for Form:

December 19, 2019

SEC 2449 (1/99)

Securities Exchange Act of 1934	
Section	19b-4
Rule	19b-4(e)
Public Availability:	DEC 20 2019

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Via Overnight Mail

December 19, 2019

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 7010
Washington, DC 20549

Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**IQ Candriam ESG US Equity ETF (IQSU)
IQ Candriam ESG International Equity ETF (IQSI)
iM DBi Hedge Strategy ETF (DBEH)
SP Funds S&P 500 Sharia Industry Exclusions ETF (SPUS)
PIMCO RAFI ESG U.S. ETF (RAFE)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Martha Redding
Associate General Counsel
Assistant Secretary

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Enclosures