

091-267028

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
hours per response. . . . 3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Cboe BYX Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
- 3. Class of New Derivative Securities Product:  
See Attached.
- 4. Name of Underlying Instrument:  
See Attached.
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- 8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
- 9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

SEC  
Mail Processing  
Section  
DEC 20 2019

Washington DC  
415



19004406

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Kyle Murray

Title: VP, Associate General Counsel

Telephone Number: 913-815-7121

Manual Signature of Official Responsible for Form:

Date: December 19, 2019

SECURITIES Exchange Act of 1934  
Section 19b-4  
Rule 19b-4(e)  
Public Availability: DEC 20 2019

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BYX EXCHANGE, INC. COMMENCING ON  
 DECEMBER 17, 2019

<b><u>(6) Ticker Symbol</u></b>	<b><u>(2) Type of Issuer of NDSP</u></b>	<b><u>(3) Class of NDSP</u></b>	<b><u>(4) Name of Underlying Instrument</u></b>	<b><u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u></b>	<b><u>(5) Broad or Narrow</u></b>
AESR	Trust	Investment Company Units	Equity Securities	U.S.	Broad



December 19, 2019

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

SEC  
Mail Processing  
Section  
DEC 20 2019  
Washington DC  
415

**RE: Form 19b-4(e) – Cboe BYX Exchange, Inc.**

Ms. Ransom,

On behalf of Cboe BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Cboe BYX Exchange on December 17, 2019. Please contact me if you have any questions in connection with this matter.

Sincerely,

Kyle Murray  
VP, Associate General Counsel  
913.815.7121