

091-267012

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Cboe BYX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

See Attached.

SEC  
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Section

3. Class of New Derivative Securities Product:

See Attached.

DEC 20 2019

4. Name of Underlying Instrument:

See Attached.

Washington DC

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

See Attached.

415

6. Ticker Symbol(s) of New Derivative Securities Product:

See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument

Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:

Trades Locked in at Exchange and settled at NSCC.



9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable.

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Kyle Murray

Title:  
VP, Associate General Counsel

Telephone Number:  
913-815-7121

Manual Signature of Official Responsible for Form:

Date:  
December 19, 2019

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: DEC 20 2019

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BYX EXCHANGE, INC. COMMENCING ON  
 DECEMBER 13, 2019

<b>(6) Ticker Symbol</b>	<b>(2) Type of Issuer of NDSP</b>	<b>(3) Class of NDSP</b>	<b>(4) Name of Underlying Instrument</b>	<b>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</b>	<b>(5) Broad or Narrow</b>
MJJ	Corp	Index Linked Notes	Indxx North American Cannabis Index	U.S.	Narrow
MJO	Corp	Index Linked Notes	Indxx North American Cannabis Index	U.S.	Narrow
EMNT	Trust	Investment Company Units	Fixed Income Securities	U.S.	Broad
RPAR	Trust	Investment Company Units	Advanced Research Risk Parity Index	World	Broad



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Section  
DEC 20 2019  
Washington DC  
415

December 19, 2019

Ms. Claudette Ransom  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – Cboe BYX Exchange, Inc.**

Ms. Ransom,

On behalf of Cboe BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on Cboe BYX Exchange on December 13, 2019. Please contact me if you have any questions in connection with this matter.

Sincerely,

A handwritten signature in black ink, appearing to read 'Kyle Murray'.

Kyle Murray  
VP, Associate General Counsel  
913.815.7121