

091-266760M

|   |  |                   |                   |
|---|--|-------------------|-------------------|
| For Internal Use Only                             |  | Submit 1 Original | OMB Approval No.: |
| Sec File No. 91 -                                 |  | and 9 Copies      | Expires:          |
| Estimated average burden hours per response: 2.00 |  |                   |                   |

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): SEC Corporation

Mail Processing  
Section

3. Class of New Derivative Securities Product:  
Index Linked Securities

NOV 18 2019

4. Name of Underlying Instrument:  
NYSE FANG+ Index

Washington DC  
410

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
FNGS

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
NYSE, NASDAQ



8. Settlement Methodology of New Derivative Securities Product:  
Regular way trades settle on T+2/Book entry only held in DTC.

19004378

9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Martha Redding

Title:  
Associate General Counsel and Assistant Secretary

Telephone Number:  
(212) 656-2938

Manual Signature of Official Responsible for Form:

*[Signature]*  
November 15, 2019

SEC 2449 (1/99)

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | NOV 18 2019                     |

RECEIVED  
NOV 18 2019  
MAIL ROOM