

091-26539fn

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response.	... 3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Cboe BZX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached.
- Class of New Derivative Securities Product:
See Attached.
- Name of Underlying Instrument:
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

SEC
Mail Processing
Section

SEP 24 2019

Washington DC
406



19004174

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Kyle Murray

Title: Assistant General Counsel

Telephone Number: 913-815-7121

Manual Signature of Official Responsible for Form:

Date: September 23, 2019

Kyle Murray

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public
Availability:

SEP 24 2019

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BZX EXCHANGE, INC. COMMENCING ON
SEPTEMBER 19, 2019

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
AVEM	Trust	Investment Company Units	Equity Securities	World	Broad
FLCB	Trust	Investment Company Units	Bonds	U.S.	Broad
IBDU	Trust	Investment Company Units	Bloomberg Barclays December 2029 Maturity Corporate Index	World	Narrow
POTX	Trust	Investment Company Units	Cannabis Index	World	Narrow

SEC
Mail Processing
Section
SEP 24 2019
Washington DC
406



September 23, 2019

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

SEC
Mail Processing
Section

SEP 24 2019

Washington DC
406

RE: Form 19b-4(e) – Cboe BZX Exchange, Inc.

Ms. Ransom,

On behalf of Cboe BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on Cboe BZX Exchange on September 19, 2019. Please contact me if you have any questions in connection with this matter.

Sincerely,

Kyle Murray
Assistant General Counsel
913.815.7121

RECEIVED
2019 SEP 24 PM 2:49
SEC / 711