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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

| OMB APPROVAL                                     |                 |
|--|-----------------|
| OMB Number:                                      | 3235-0504       |
| Expires:   | August 31, 2019 |
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### FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**

3. Class of New Derivative Securities Product:  
**Exchange Traded Fund**



4. Name of Underlying Instrument:  
**Actively managed portfolio of primarily fixed income securities**

19003885

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**N/A**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**WINC**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**BERLIN STOCK EXCHANGE, DUSSELDORF STOCK EXCHANGE, EURO TLX, FRANKFURT STOCK EXCHANGE, HAMBURG STOCK EXCHANGE, HANNOVER STOCK EXCHANGE, HI-MTF SIM SPA, IRISH STOCK EXCHANGE, INTERNATIONAL SECURITIES EXCHANGE, LONDON STOCK EXCHANGE PLC, LUXEMBOURG STOCK EXCHANGE, MTS BONDVISION, BORSA ITALIANA S.P.A., MARKETAXESS, MUNICH STOCK EXCHANGE, NEW YORK STOCK EXCHANGE, SINGAPORE EXCHANGE SECURITIES TRADING, NASDAQ STOCKHOLM AB, STUTTGART STOCK EXCHANGE, SIX SWISS EXCHANGE, TRADEGATE EXCHANGE, WIENER BOERSE AG**

8. Settlement Methodology of New Derivatives Product:  
**Regular way trades settle on T + 2 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

#### Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**William Slattery**

Title:  
**Vice President**

Telephone Number:  
**1-301-978-8088**

Manual Signature of Official Responsible for Form:

*William Slattery*

Date: **February 11, 2019**

|               |                                 |
|---------------|---------------------------------|
| Act           | Securities Exchange Act of 1934 |
| Section       | 19b-4                           |
| Rule          | 19b-4                           |
| Public        |                                 |
| Availability: | <b>FEB 13 2019</b>              |

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