

091-26337

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**SEC  
Mail Processing  
Section  
APR 26 2019  
Washington DC  
413**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**NYSE Arca, Inc.**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open-end Management Investment Company**

3. Class of New Derivative Securities Product:

**Managed Fund Shares**

4. Name of Underlying Instrument:

**n/a**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**n/a**

6. Ticker Symbol(s) of New Derivative Securities Product:

**SEIX**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**n/a**

8. Settlement Methodology of New Derivative Securities Product:

**Regular way trades settle on T+2/Book entry only held in DTC.**

9. Position Limits of New Derivative Securities Product (if applicable):

**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Martha Redding**

Title:

**Associate General Counsel and Assistant Secretary**

Telephone Number:

**(212) 656-2938**

Manual Signature of Official Responsible for Form:

*[Handwritten Signature]*

**April 25, 2019**

SEC 2449 (1/99)



19003746

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	<b>APR 26 2019</b>



Martha Redding  
Associate General Counsel  
Assistant Secretary

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**Via Overnight Mail**

April 25, 2019

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

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**Re: 19b-4(e) – Transmittal (NYSE Arca, Inc.)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**AdvisorShares Pure Cannabis ETF (YOLO)  
iShares Self-Driving EV and Tech ETF (IDRV)  
Principal Ultra-Short Active Income ETF (USI)  
Virtus SEIX Senior Loan ETF (SEIX)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

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Enclosures