

091-26319

For Internal Use Only
Sec File No. 91 -

Submit 1 Original
and 9 Copies

OMB Approval No.:
Expires:

Estimated average burden hours per response: 2.00

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Corporation

SEC
Mail Processing
Section
APR 08 2019
Washington DC
406

3. Class of New Derivative Securities Product:
Index Linked Securities

4. Name of Underlying Instrument:
Solactive MicroSectors U.S. Big Banks Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
KNAB

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NYSE

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+2/Book entry only held in DTC.



9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

**Securities and Exchange Commission
Trading and Markets**

Telephone Number:
(212) 656-2938

Manual Signature of Official Responsible for Form:

[Handwritten Signature]

April 5, 2019

SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 08 2019

APR 08 2019
RECEIVED