

091-260198

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Trading and Markets

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Mail Form Number: 3235-0504  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

NOV 19 2018  
Washington DC  
408

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**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
3. Class of New Derivative Securities Product:  
Ownership of the Trust
4. Name of Underlying Instrument:  
PGIM QMA Strategic Alpha Small-Cap Growth ETF
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
6. Ticker Symbol(s) of New Derivative Securities Product:  
PQSG
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
8. Settlement Methodology of New Derivative Securities Product:  
See Prospectus
9. Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus



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**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Michael J. Cardin

Title: Director  
Member Regulation Department

Telephone Number:  
312-663-2204

Manual Signature of Official Responsible for Form:  
*Michael J. Cardin*

Date: November 16, 2018

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	201-10
Public	
Availability	NOV 19 2018