

091-2594271

For Internal Use
Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2019
Estimated average burden hours per response.....	3.60

SEC Mail Processing

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

NOV 09 2018

FORM 19b-4(e)

Washington, DC

Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company

3. Class of New Derivative Securities Product:
Exchange Traded Fund

4. Name of Underlying Instrument:
Nasdaq China US Internet Tiger Index

Securities and Exchange Commission
Trading and Markets

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based

NOV 09 2018

6. Ticker Symbol(s) of New Derivative Securities Product:
TTTN

RECEIVED

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Nasdaq Global Select Market, New York Stock Exchange, Stock Exchange of Hong Kong

8. Settlement Methodology of New Derivatives Product:
Regular way trades settle on T + 2 (cash settled)



9. Position Limits of New Derivative Securities Product (if applicable):
N/A

18011129

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
William Slattery

Title:
Vice President

SEC Mail Processing

Telephone Number:
1-301-978-8088

NOV 09 2018

Manual Signature of Official Responsible for Form:

Date: November 8, 2018

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public
Availability: **NOV 09 2018**