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For Internal Use Only Submit 1 Original OMB Approval No .: Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company SEC Mail Processing 3. Class of New Derivative Securities Product: **Investment Company Units** NOV 05 2018 4. Name of Underlying Instrument: Washington, DC Morningstar Global Wide Moat Focus Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** 6. Ticker Symbol(s) of New Derivative Securities Product: GOAT 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, SIX Swiss, ASX, Nasdaq, NYSE American, Euronext Paris, London, Tokyo, Toronto, Xetra, Hong Kong, **Euronext Amsterdam** 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): 18011093 Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 Section November/2, 2018 Rule SEC 2449 (1/99) Public Availability: NOV 0 5 2018