

091-25986

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges)**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open-end Management Investment Company**

3. Class of New Derivative Securities Product:  
**Investment Company Units**

SEC Mail Processing

NOV 05 2018

4. Name of Underlying Instrument:  
**Morningstar US Dividend Valuation Index**

Washington, DC

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**DURA**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**NYSE, Nasdaq**

8. Settlement Methodology of New Derivative Securities Product:  
**Regular way trades settle on T+2/Book entry only held in DTC.**



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9. Position Limits of New Derivative Securities Product (if applicable):  
**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Martha Redding**

Title:  
**Associate General Counsel and Assistant Secretary**

Telephone Number:  
**(212) 656-2938**

Manual Signature of Official Responsible for Form:

**November 2, 2018**  
SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	<b>NOV 05 2018</b>