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| CEC / TM | Washington, D.C. 2054 | 9 |
| 250111 | FORM 19b-4(e) | |
| | quired of a Self-Regulatory Organiza | tion Listing and Trading a New ler the Securities Exchange Act of 1934 |
| | ALL INSTRUCTIONS PRIOR TO | |
| Part I | Initial Listing Report | |
| Name of Self-Regulatory Organization L | | |
| New York Stock Exchange, LLC (tra | THE RESIDENCE OF THE PROPERTY | |
| to was a stock Exemme, EEE (tru | and pursuant to unisted trading | , privileges) |
| 2. Type of Issuer of New Derivative Securit | ies Product (e.g., clearinghouse, broker | -dealer, corporation, etc.): |
| Open-end Management Investment C | Company | A AND CONTROL OF THE |
| | | |
| 3. Class of New Derivative Securities Produ | ct: | |
| Investment Company Units | | SEC Mail Processing |
| | | |
| 4. Name of Underlying Instrument: | | SEP 0.4.2018 |
| Morningstar Women's Empowermen | t Index | 361 0 7 2010 |
| | | A. A. A. C. |
| 5. If Underlying Instrument is an Index, Sta | te Whether it is Broad-Based or Narrov | -Based: VVaSnington, DC |
| Narrow-Based | | |
| 6. Ticker Symbol(s) of New Derivative Sect | urities Product | |
| WOMN | inties Floduct. | |
| WOMIN | | |
| 7. Market or Markets Upon Which Securitie | s Comprising Underlying Instrument | rades: |
| NYSE, NASDAQ | | |
| | | W W W W W W W W W W W W W W W W W W W |
| 8. Settlement Methodology of New Derivative Securities Product: | | |
| Regular way trades settle on T+2/Book entry only held in DTC. | | |
| | | 18010425 |
| | 9. Position Limits of New Derivative Securities Product (if applicable): | |
| 9. Position Limits of New Derivative Securi | ties Product (if applicable): | |
| 9. Position Limits of New Derivative Securi Not applicable. | ties Product (if applicable): | |
| Not applicable. | | |
| Not applicable. Part II | Execution | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re | egulatory Organization has duly approved, or has duly ferenced new derivative securities product according to it |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein Fitle: | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein Title: Associate General Counsel | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein Fitle: Associate General Counsel Felephone Number: | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein Title: Associate General Counsel Telephone Number: (212) 656-2355 | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re nce programs and listing standards. | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein Fitle: Associate General Counsel Felephone Number: | Execution ng body of the above-referenced Self-F r, the listing and trading of the above-re nce programs and listing standards. | |
| Not applicable. Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein Title: Associate General Counsel Telephone Number: (212) 656-2355 | Execution Ing body of the above-referenced Self-Fr, the listing and trading of the above-reference programs and listing standards. The programs are programs and listing standards. | ferenced new derivative securities product according to it |
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| Part II The undersigned represents that the governing delegated its approval to the undersigned for relevant trading rules, procedures, surveillar Name of Official Responsible for Form: Clare F. Saperstein Fitle: Associate General Counsel Felephone Number: (212) 656-2355 Manual Signature of Official Responsible for | Execution Ing body of the above-referenced Self-Fr, the listing and trading of the above-reference programs and listing standards. In Form: Act | Securities Exchange Act of 1934 |
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