

091-255198

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

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**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Corporation

3. Class of New Derivative Securities Product:

Index Linked Securities

4. Name of Underlying Instrument:

NYSE Pickens Core Midstream Index

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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Narrow-Based

Washington, DC

6. Ticker Symbol(s) of New Derivative Securities Product:

PYPE

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NYSE American

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+2/Book entry only held in DTC.



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9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Clare F. Saperstein

Title:

Associate General Counsel

Telephone Number:

(212) 656-2355

Manual Signature of Official Responsible for Form:

Clare F. Saperstein

August 23, 2018

SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 27 2018



Clare F. Saperstein
Associate General Counsel

New York Stock Exchange
11 Wall Street
New York, NY 10005
T + 1 212 656 2355
Clare.Saperstein@theice.com

Via Overnight Mail

August 23, 2018

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 7010
Washington, DC 20549

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Washington, DC

Re: 19b-4(e) – Transmittal (New York Stock Exchange, LLC)

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

ETRACS NYSE Pickens Core Midstream Index ETN due August 20, 2048 (PYPE)

If you have any questions, please do not hesitate to call me at (212) 656-2355.

Sincerely,

A handwritten signature in cursive script, appearing to read "Clare F. Saperstein".

Enclosures