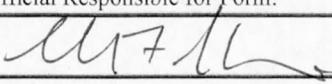


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|   |  |                               |  |                   |
|---|--|-------------------------------|--|-------------------|
| For Internal Use Only   |  | Submit 1 Original             |  | OMB Approval No.: |
| Sec File No. 91 -   |  | and 9 Copies                  |  | Expires:          |
| Estimated average burden hours per response: 2.00   |  |                               |  |                   |
| <b>UNITED STATES</b><br><b>SECURITIES AND EXCHANGE COMMISSION</b><br>Washington, D.C. 20549<br><b>FORM 19b-4(e)</b>   |  |                               |  |                   |
| <b>Information Required of a Self-Regulatory Organization Listing and Trading a New</b><br><b>Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934</b>   |  |                               |  |                   |
| <b>READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM</b>   |  |                               |  |                   |
| <b>Part I</b>   |  | <b>Initial Listing Report</b> |  |                   |
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  |  |                               |  |                   |
| NYSE American, LLC (trading pursuant to unlisted trading privileges)  |  |                               |  |                   |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):   |  |                               |  |                   |
| Corporation   |  |                               |  |                   |
| 3. Class of New Derivative Securities Product:  |  |                               |  |                   |
| Index Linked Securities   |  |                               |  |                   |
| 4. Name of Underlying Instrument:   |  |                               |  |                   |
| NYSE Pickens Core Midstream Index   |  |                               |  |                   |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:   |  |                               |  |                   |
| Narrow-Based  |  |                               |  |                   |
| 6. Ticker Symbol(s) of New Derivative Securities Product:   |  |                               |  |                   |
| PYPE  |  |                               |  |                   |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:   |  |                               |  |                   |
| NYSE, NYSE American   |  |                               |  |                   |
| 8. Settlement Methodology of New Derivative Securities Product:   |  |                               |  |                   |
| Regular way trades settle on T+2/Book entry only held in DTC.   |  |                               |  |                   |
| 9. Position Limits of New Derivative Securities Product (if applicable):  |  |                               |  |                   |
| Not applicable.   |  |                               |  |                   |
| <b>Part II</b>  |  | <b>Execution</b>              |  |                   |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. |  |                               |  |                   |
| Name of Official Responsible for Form:  |  |                               |  |                   |
| Clare F. Saperstein   |  |                               |  |                   |
| Title:  |  |                               |  |                   |
| Associate General Counsel   |  |                               |  |                   |
| Telephone Number:   |  |                               |  |                   |
| (212) 656-2355  |  |                               |  |                   |
| Manual Signature of Official Responsible for Form:  |  |                               |  |                   |
|    |  |                               |  |                   |
| August 23, 2018   |  |                               |  |                   |

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Washington, DC



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|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | <b>AUG 27 2018</b>              |



Clare F. Saperstein  
Associate General Counsel

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[Clare.Saperstein@theice.com](mailto:Clare.Saperstein@theice.com)

**Via Overnight Mail**

August 23, 2018

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

**SEC Mail Processing**

**AUG 27 2018**

**Washington, DC**

**Re: 19b-4(e) – Transmittal (NYSE American, LLC)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**ETRACS NYSE Pickens Core Midstream Index ETN due August 20, 2048 (PYPE)**

If you have any questions, please do not hesitate to call me at (212) 656-2355.

Sincerely,

A handwritten signature in black ink, appearing to be "Clare F. Saperstein".

Enclosures