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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **SEC Mail Processing**

Part I

Initial Listing Report

AUG 14 2018

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: **Chicago Stock Exchange, Inc.** **Washington, DC**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Trust**
- Class of New Derivative Securities Product: **Ownership of the Trust**
- Name of Underlying Instrument: **Innovator S&P 500 Ultra Buffer ETF - July**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Narrow-Based**
- Ticker Symbol(s) of New Derivative Securities Product: **UJUL**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **Various**
- Settlement Methodology of New Derivative Securities Product: **See Prospectus**
- Position Limits of New Derivative Securities Product (if applicable): **See Prospectus**



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Michael J. Cardin**

Title: **Director** **Securities and Exchange Commission**  
**Member Regulation Department** **Trading and Markets**

Telephone Number: **312-663-2204**

Manual Signature of Official Responsible for Form: *Michael J. Cardin*

Date: **August 8, 2018**

AUG 14 2018

Securities Exchange Act of 1934  
**RECEIVED**  
Section 19b-4  
Rule 19b-4(e)  
Public Availability: **AUG 14 2018**