

091-25470 fm

For Internal Use Only		Submit 1 Original		OMB Approval No.:
Sec File No. 91 -		and 9 Copies		Expires:
Estimated average burden hours per response: 2.00				

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

SEC
Mail Processing
Section

3. Class of New Derivative Securities Product:

Investment Company Units

AUG 13 2018

4. Name of Underlying Instrument:

FTSE Custom Emerging Ultra Dividend Revenue Index

Washington DC
410

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-based



18008353

6. Ticker Symbol(s) of New Derivative Securities Product:

REDV

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Abu Dhabi, Athens, BSE India, Dubai, Egypt, Hong Kong, Istanbul, JSE, Malaysia, Mexico, Micex, Pakistan, Philippines, Prague, Qatar, Santiago, Sao Paulo, Shenzhen, Taiwan, Thailand, Warsaw, Xetra

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Securities and Exchange Commission
Trading and Markets

Name of Official Responsible for Form:

Martha Redding

AUG 13 2018

Title:

Associate General Counsel and Assistant Secretary

RECEIVED

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

(Signature)
August 10, 2018

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: **AUG 13 2018**