

091-25025m

For Internal Use Only
Sec File No. 9-

RECEIVED

2018 JUL 13 PM 2:35

Submit 1 Original and 9 Copies

SEC / TM

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	August 31, 2019
Estimated burden hours per response.....	3.60

JUL 13 2018

FORM 19b-4(e)

Washington DC

Information Required of a Self-Regulatory Organization Listing and Trading New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
The NASDAQ Stock Market LLC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- Class of New Derivative Securities Product:
Exchange Traded Fund
- Name of Underlying Instrument:
Actively managed portfolio of primarily equity securities
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
N/A
- Ticker Symbol(s) of New Derivative Securities Product:
DWSH
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Nasdaq, New York
- Settlement Methodology of New Derivatives Product:
Regular way trades settle on T + 2 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
N/A



18008284

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
William Slattery

Title:
Vice President

Telephone Number:
1-301-978-8088

Manual Signature of Official Responsible for Form:

Date: July 11, 2018

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(a)
Public Availability:	JUL 13 2018