

091-249720

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UNITED STATES  
 SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549  
 FORM 19b-4(e)

SEC  
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 Section  
 JUN 04 2018

Information Required of a Self-Regulatory Organization Listing and Trading a New  
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 406

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE American, LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

3. Class of New Derivative Securities Product:

Managed Fund Shares

4. Name of Underlying Instrument:

n/a



18008231

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

n/a

6. Ticker Symbol(s) of New Derivative Securities Product:

HSRT

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

n/a

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

*(Handwritten Signature)*  
 June 1, 2018  
 SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 04 2018



Martha Redding  
Associate General Counsel  
Assistant Secretary

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New York, NY 10005  
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Martha.Redding@theice.com

Via Overnight Mail

June 1, 2018

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

SEC  
Mail Processing  
Section

JUN 04 2018

Washington DC  
406

Re: **19b-4(e) – Transmittal (NYSE American, LLC)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**Franklin Liberty High Yield Corporate ETF (FLHY)**  
**Franklin Liberty Senior Loan ETF (FLBL)**  
**Franklin Liberty International Aggregate Bond ETF (FLIA)**  
**Brand Value ETF (BVAL)**  
**iShares MSCI USA ESG Select ETF (SUSA)**  
**Xtrackers MSCI China A Inclusion Equity ETF (ASHX)**  
**Hartford Short Duration ETF (HSRT)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,



Enclosures

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