

091-249588

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)

SEC  
Processing  
Section  
JUN 04 2018  
Washington, DC

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open-end Management Investment Company

3. Class of New Derivative Securities Product:  
Managed Fund Shares



18008217

4. Name of Underlying Instrument:  
n/a

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
n/a

6. Ticker Symbol(s) of New Derivative Securities Product:  
HSRT

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
n/a

8. Settlement Methodology of New Derivative Securities Product:  
Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Martha Redding  
Title:  
Associate General Counsel and Assistant Secretary  
Telephone Number:  
(212) 656-2938

Manual Signature of Official Responsible for Form:

June 1, 2018  
SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability	JUN 04 2018



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Martha Redding  
Associate General Counsel  
Assistant Secretary

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Via Overnight Mail

2018 JUN -5 AM 10:04

SEC / TM

June 1, 2018

SEC  
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Section

JUN 04 2018

Washington DC  
406

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

**Re: 19b-4(e) – Transmittal (New York Stock Exchange, LLC)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**Franklin Liberty High Yield Corporate ETF (FLHY)**  
**Franklin Liberty Senior Loan ETF (FLBL)**  
**Franklin Liberty International Aggregate Bond ETF (FLIA)**  
**Brand Value ETF (BVAL)**  
**iShares MSCI USA ESG Select ETF (SUSA)**  
**Xtrackers MSCI China A Inclusion Equity ETF (ASHX)**  
**Hartford Short Duration ETF (HSRT)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,



Enclosures