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JUN 25 2018

Washington, DC

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Nasdaq Stock Market LLC (traded pursuant to unlisted trading privileges)**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**

3. Class of New Derivative Securities Product:  
**Exchange Traded Fund**



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4. Name of Underlying Instrument:  
**Actively Managed Portfolio of Securities**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based:  
**Not Applicable**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**HSRT**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: OTC**

8. Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 2 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

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**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**William Slattery**

Title:  
**Vice President**

Telephone Number:  
**+1 301 978 0888**

Manual Signature of Official Responsible for Form:

Date: **June 20, 2018**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(a)
Public Availability:	<b>JUN 25 2018</b>