



SEC-WA DM

18007520

SE

Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0123
Expires:	August 31, 2020
Estimated average burden hours per response.....	12.00

ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

SEC Processing Section
 APR 09 2018
 Washington, DC

SEC FILE NUMBER
8-34889

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/2017 AND ENDING 12/31/2017
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Maine Securities Corporation
 ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)
15 Monument Square
(No. and Street)
Portland ME 04101
(City) (State) (Zip Code)

OFFICIAL USE ONLY
FIRM I.D. NO.

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT
Bradley McCurtain (207) 775-0800
(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*
Bryant A. Gaudette, CPA
(Name - if individual, state last, first, middle name)
21320 Provincial Blvd., #100 Katy TX 77450
(Address) (City) (State) (Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

GA

OATH OR AFFIRMATION

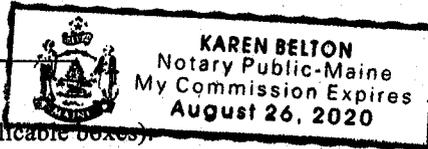
I, Bradley McCurtain, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Maine Securities Corporation of December 31, 2017, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

Bradley McCurtain 3/30/2018
Signature

3-30-2018

President Title

Karen Belton
Notary Public



This report ** contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

Maine Securities Corporation
Financial Statements
Statement of Financial Condition
As of and for the Year-Ended December 31, 2017

	Dec 31, 17
ASSETS	
Current Assets	
Checking/Savings	
1100 · Checking/Savings	
1160 · SW MSC Checking	5,922.30
1190 · SWS Equity Account MMF	70,234.92
Total 1100 · Checking/Savings	76,157.22
Total Checking/Savings	76,157.22
Accounts Receivable	
1200 · Receivables	
1250 · RIA Fees A/R - Allowable	3,252.89
1251 · RIA Fees A/R NON-Allowable	6,706.37
1260 · Commissions Receivables	54.14
1270 · Other Receivables	34.27
Total 1200 · Receivables	10,047.67
Total Accounts Receivable	10,047.67
Other Current Assets	
1300 · Prepaid Expenses	
1360 · Prepaid Regulatory Fees	6,727.00
1370 · Prepaid Expenses - Other	16,439.43
Total 1300 · Prepaid Expenses	23,166.43
1400 · Investments	
1460 · Marketable Securities	245,426.65
Total 1400 · Investments	245,426.65
1500 · Other Current Assets	
1550 · Clearing Deposit SWS	25,003.53
1560 · Employee Loans	12,000.00
1565 · Reserve for Uncollectible	-12,000.00
Total 1500 · Other Current Assets	25,003.53
Total Other Current Assets	293,596.61
Total Current Assets	379,801.50
Fixed Assets	
1600 · Fixed Assets	
1650 · Property and Equipment	23,649.08
1660 · Accumulated Depreciation	-23,649.08
Total 1600 · Fixed Assets	0.00
Total Fixed Assets	0.00
Other Assets	
Error Account	365.65
Maine Bank prepaid exp	192.60
1900 · Deferred Taxes	18,500.00
Total Other Assets	19,058.25
TOTAL ASSETS	398,859.75

The accompanying notes are an integral part of these financial statements.

Maine Securities Corporation
Balance Sheet
As of December 31, 2017

	Dec 31, 17
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 · Accounts Payable	
2020 · Commissions Payable	280.56
Total 2000 · Accounts Payable	280.56
Total Accounts Payable	280.56
Other Current Liabilities	
2040 · Other Accrued Expenses	3,870.61
2050 · Current Liabilities	
2055 · Due to Owner	71,232.20
Total 2050 · Current Liabilities	71,232.20
Total Other Current Liabilities	75,102.81
Total Current Liabilities	75,383.37
Total Liabilities	75,383.37
Equity	
3100 · Common Stock	100.00
3200 · Capital Contributions	339,552.92
3900 · *Retained Earnings	-24,155.59
Net Income	7,979.05
Total Equity	323,476.38
TOTAL LIABILITIES & EQUITY	398,859.75

The accompanying notes are an integral part of these financial statements.