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**ANNUAL AUDITED REPORT
FORM X-17A-5
PART III**

SEC FILE NUMBER
8-67942

FACING PAGE

**Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder**

REPORT FOR THE PERIOD BEGINNING 01/01/17 AND ENDING 12/31/17
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Solium Financial Services, LLC

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

50 Tice Boulevard Suite A-18

(No. and Street)

Woodcliff lake

New Jersey

07677

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Dominick Scianandre 201-476-9011

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Deloitte LLP

(Name - if individual, state last, first, middle name)

700 Bankers Ct.2nd St. S.W. Calgary AB T2P QRB Canada

(Address)

(City)

(State)

SEC

(Zip Code)

Mall Processing
Section

MAR 01 2018

Washington DC
413

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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OATH OR AFFIRMATION

I, Dominick Scianandre, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Solium Financial Services, LLC, as of Decemebr 31, 2017, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

LANE R. SCHORSCH 2/28/18
NOTARY PUBLIC-STATE OF NEW YORK
No. 01SC6241903
Qualified in Putnam County
My Commission Expires May 31, 2019
Notary Public

Signature
President CEO/CCO
Title

This report ** contains (check all applicable boxes):

- (a) Facing Page.
(b) Statement of Financial Condition.
(c) Statement of Income (Loss).
(d) Statement of Changes in Financial Condition.
(e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
(f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
(g) Computation of Net Capital.
(h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
(i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
(j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
(k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
(l) An Oath or Affirmation.
(m) A copy of the SIPC Supplemental Report.
(n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

Solium
FINANCIAL

Solium Financial Services LLC
Financial Statements
December 31, 2017

Solium Financial Services LLC

December 31, 2017

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Deloitte LLP
700, 850 2nd Street SW
Calgary, AB T2P 0R8
Canada

Tel: 403-267-1700
Fax: 587-774-5379
www.deloitte.ca

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Board of Directors of Solium Financial Services LLC

Opinion on the Financial Statements

We have audited the accompanying balance sheet of Solium Financial Services LLC (the "Company") as of December 31, 2017, and the related statements of operations and retained earnings, changes in shareholders' equity, changes in liabilities subordinated to claims of general creditors and cash flows for the year then ended, and the related notes (collectively referred to as the "financial statements"). In our opinion, the financial statements present fairly, in all material respects, the financial position of the Company as of December 31, 2017, and the results of its operations and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

Basis for Opinion

These financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on the Company's financial statements based on our audit. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) (the "PCAOB") and are required to be independent with respect to the Company in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audit in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud.

Our audit included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. Our audit also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. We believe that our audit provides a reasonable basis for our opinion.

Report on Supplemental Schedule

The supplemental schedule statement of net capital listed in the accompanying table of contents has been subjected to audit procedures performed in conjunction with the audit of the Company's financial statements. The supplemental schedule is the responsibility of the Company's management. Our audit procedures included determining whether the supplemental schedule reconciles to the financial statements or the underlying accounting and other records, as applicable, and performing procedures to test the completeness and accuracy of the information presented in the supplemental schedule. In forming our opinion on the supplemental schedule, we evaluated whether the supplemental schedule, including its form and content, is presented in compliance with Rule 17a-5 under the United States Securities Exchange Act of 1934. In our opinion, such schedule is fairly stated, in all material respects, in relation to the financial statements as a whole.

Chartered Professional Accountants
February 22, 2018

We have served as the Company's auditor since 2009.

Solium Financial Services LLC

Balance Sheet

(Amount in U.S. dollars)

		As at December 31,	
		2017	2016
		\$	\$
ASSETS			
Current assets			
Cash	4	286,599	193,703
Trade and other receivables		265,150	141,427
Due from related parties	3	3,294,937	2,367,117
		<u>3,846,686</u>	<u>2,702,247</u>
LIABILITIES			
Current liabilities			
Trade payables and other accruals		83,118	25,962
		<u>83,118</u>	<u>25,962</u>
SHAREHOLDERS' EQUITY			
Share capital	7	120,000	120,000
Retained earnings		3,643,568	2,556,285
		<u>3,763,568</u>	<u>2,676,285</u>
		<u>3,846,686</u>	<u>2,702,247</u>

The financial statements were approved by the officers of the LLC on February 22, 2018 and were signed on its behalf.

(Signed) "Kelly Schmitt" Officer of the LLC

(Signed) "Dominick Scianandre" Officer of the LLC

The accompanying notes are an integral part of these financial statements.

Solium Financial Services LLC
Statement of Operations and Retained Earnings
(Amount in U.S. dollars)

	Years ended December 31,	
	2017	2016
	\$	\$
Revenue		
Broker access and administration fees	1,951,442	1,303,483
Operating expenses		
Salaries and wages	75,000	75,000
General and administrative	85,310	93,186
	160,310	168,186
Income before income taxes	1,791,132	1,135,297
Income taxes	703,849	450,087
Net income	1,087,283	685,210
Retained earnings, beginning of year	2,556,285	1,871,075
Retained earnings, end of year	3,643,568	2,556,285

The accompanying notes are an integral part of these financial statements.

Solium Financial Services LLC

Statement of Changes in Shareholders' Equity

For the years ended December 31, 2017 and 2016

(Amount in U.S. dollars)

Shareholders' equity, January 1, 2016	\$ 1,991,075
Net income	685,210
Shareholders' equity, December 31, 2016	2,676,285
Net income	1,087,283
Shareholders' equity, December 31, 2017	3,763,568

The accompanying notes are an integral part of these financial statements.

Solium Financial Services LLC

Statement of Changes in Liabilities Subordinate to Claims of General Creditors

For the years ended December 31, 2017 and 2016

(Amount in U.S. dollars)

	\$
Balance January 1, 2016	-
Increases	-
Decreases	-
<hr/>	
Balance December 31, 2016	-
Increases	-
Decreases	-
<hr/>	
Balance December 31, 2017	-

Note: No liabilities subordinate to claims of general creditors existed as at December 31, 2017 and 2016.

The accompanying notes are an integral part of these financial statements.

Solium Financial Services LLC

Statement of Cash Flows

(Amount in U.S. dollars)

		For the years ended December 31,	
		2017	2016
		\$	\$
	Notes		
Cash flows related to the following activities:			
Operating activities			
Net income		1,087,283	685,210
Changes in non-cash working capital	5	(994,387)	(725,311)
		92,896	(40,101)
Net increase (decrease) in cash		92,896	(40,101)
Cash, beginning of year		193,703	233,804
Cash, end of year	4	286,599	193,703

The accompanying notes are an integral part of these financial statements.

Solium Financial Services LLC

Notes to the Financial Statements

(Amount in U.S. dollars, unless otherwise stated)

1. Business organization

Solium Financial Services LLC (“SFS”, the “LLC” or the “Company”) is a Delaware limited liability company (“LLC”) and was incorporated on May 7, 2008 in New Jersey. Effective December 2, 2008, the Company was granted membership as a Financial Industry Regulatory Authority (“FINRA”) member firm. The Company is a wholly-owned subsidiary of Solium Holdings USA Inc. (“Solium Holdings”). As a LLC, the Company does not have a Board of Directors. Its business and affairs are governed by Solium Holdings pursuant to the Company’s Operating Agreement.

The Company’s primary source of revenue is derived from the access and administration fees earned through agreements with broker partners. The Company operates as a FINRA member and its Chief Executive Officer (“CEO”) is licensed with FINRA. As of December 31, 2017, all registration fees and required filings have been made to FINRA and related securities authorities.

2. Significant accounting policies

Basis of presentation

The financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America.

Financial statements

The Company maintains its books and the accompanying financial statements have been prepared on the accrual basis of accounting.

Cash and cash equivalents

The Company considers all cash and money market instruments with an original maturity of ninety days or less to be cash and cash equivalents.

The Company maintains its bank accounts in high credit quality institutions. Deposits at times may exceed federally insured limits.

Revenue

Revenue derived from sharing agreements with broker partners is recognized in the month the fees are earned and expenses are recognized when incurred.

Income taxes

Solium Holdings and its domestic subsidiaries, including SFS, file consolidated United States (“U.S.”) federal and state income tax returns. Based on the results of the consolidated group, income taxes for SFS for the year ended December 31, 2017 have been estimated at the blended U.S. federal and state income tax rate of 39.30% (2016 – 39.64%).

New standards and interpretations not yet adopted

As at the date of authorization of these financial statements, the following standard and interpretation relevant to the Company’s operations was issued by the FASB but is not yet mandatory:

ASC 606 ‘Revenue from contracts with customers’ was issued by the FASB in May 2014 and amended in September 2015 for application beginning on or after January 1, 2018. The standard requires revenue to be recognized in a manner that depicts the transfer of promised goods or services to a customer and at an amount that reflects the consideration expected to be received in exchange for transferring those goods or services. The standard also provides guidance relating to the treatment of contract acquisition and contract fulfillment costs. The Company is finalizing the evaluation on ASC 606 and its impact on the Company’s financial statements. Based on the evaluation, the Company expects the application of this new standard will not have a material impact on the Company’s financial statements as the timing of recognition and classification of revenue will not change substantially under the new standard. Similarly, the measurement and recognition of contract acquisition and contract fulfillment costs are not expected to be affected by the new standard.

Solium Financial Services LLC

Notes to the Financial Statements

(Amount in U.S. dollars, unless otherwise stated)

3. Due to and from related parties

Amounts due to and from related parties represent cash collected or paid on behalf of SFS by affiliated companies and thus are due to or from SFS, net of operating costs paid by affiliated companies on behalf of SFS and due back from SFS. There are no fixed repayment terms. Interest is charged at the Applicable Federal Rate (“AFR”) as published by the U.S. Internal Revenue Service on balances due to Solium Capital Inc., the ultimate Canadian parent company.

As at December 31, 2017, SFS has an amount due from Solium Capital Inc. of \$3,630 (2016 - \$22,978), therefore no interest has been charged (2016 - \$nil).

As at December 31, 2017, SFS has an amount due from Solium Capital LLC of \$4,122,272 (2016 - \$2,471,813), the amount is non-interest bearing.

As at December 31, 2017, SFS has an amount due to Solium Holdings USA Inc. of \$831,523 (2016 - \$127,674), the amount is non-interest bearing.

As at December 31, 2017, SFS has an amount due from Solium Capital UK Limited of \$558 (2016 - nil), the amount is non-interest bearing.

4. Net capital

As at December 31, 2017, the Company’s net capital is \$410,963 (2016 - \$187,044). The Company’s excess net capital was \$405,963 (2016 - \$182,044) over the minimum requirements. Its aggregated indebtedness was \$83,118 (2016 - \$25,962) and its ratio of aggregated indebtedness to net capital was 20.23% (2016 - 13.88%). This was computed pursuant to United States Securities and Exchange Commission (“SEC”) Rule 15c3-1. Cash in the amount of \$5,000 (2016 - \$5,000) is restricted to maintain the minimum net capital.

5. Changes in non-cash working capital

Changes in non-cash working capital items are detailed as follows:

	For the years ended December 31,	
	2017	2016
	\$	\$
(Increase) decrease in accounts receivable	(123,723)	9,669
Increase in due from related parties	(927,820)	(695,310)
Increase (decrease) in accounts payable	57,156	(39,670)
Change in non-cash operating working capital	(994,387)	(725,311)

6. Financial instruments

Accounting principles generally accepted in the United States of America require that the Company disclose information about the fair value of its assets and liabilities. Fair value estimates are made at the balance sheet date based on relevant market information and information about the financial instrument.

Market price observability is impacted by a number of factors, including the type of asset or liability, the characteristics specific to the asset or liability, and the state of the marketplace (including the existence and transparency of transactions between market participants). Assets and liabilities with readily-available actively quoted prices or for which fair value can be measured from actively-quoted prices in an orderly market will generally have a higher degree of market price observability and a lesser degree of judgment used in measuring fair value.

Solium Financial Services LLC

Notes to the Financial Statements

(Amount in U.S. dollars, unless otherwise stated)

Assets and liabilities recorded at fair value in the Company's balance sheet are categorized based upon the level of judgment associated with the inputs used to measure their fair value. Hierarchical levels directly related to the amount of subjectivity associated with inputs to fair valuation of these assets and liabilities, are as follows:

Level 1 - Quoted prices are available in active markets for identical assets and liabilities as of the reporting date. Quoted prices for these assets and liabilities are not adjusted even in situations where a large position and a sale could reasonably impact the quoted price.

Level 2 - Pricing inputs are other than quoted prices in active markets, which are either directly or indirectly observable as of the reporting date, and fair value is determined through the use of models or other valuation methodologies.

Level 3 - One or more significant pricing inputs are unobservable for the assets and liabilities and include situations where there is little, if any, market activity for the assets and liabilities. The inputs into the determination of fair value require significant management judgment or estimation.

The Company's financial instruments are recorded at amortized cost. Their carrying amounts at year end approximate their fair values.

7. Share capital

The Company has authorized an unlimited number of common shares

<i>Issued</i>	Number of shares	Amount
Common shares		\$
Balance, December 31, 2017 and 2016	100	120,000

Solium Financial Services LLC

Statement of Net Capital

Pursuant to SEC Rule 15c3-1

As at December 31, 2017 and 2016

(Amount in U.S. dollars)

	2017	2016
	\$	\$
Total assets	3,846,686	2,702,247
Less: total liabilities	(83,118)	(25,962)
Net worth	3,763,568	2,676,285
Less: non-allowable assets	(3,352,605)	(2,489,241)
Net capital before haircuts	410,963	187,044
Less: total haircut charges	-	-
Net capital	410,963	187,044
Less: required minimum net capital	(5,000)	(5,000)
Excess net capital	405,963	182,044
Aggregated indebtedness	83,118	25,962
Aggregated indebtedness to net capital	20.23%	13.88%

Reconciliation with Company's Computation

(Included in part II of Form X-17A-5 as at December 31, 2017 and 2016)

	2017	2016
	\$	\$
Net capital, as reported in Company's part II (unaudited) FOCUS report	203,481	167,741
Adjustments to non-allowable assets	207,482	19,303
Net capital per above	410,963	187,044

Solium Financial Services LLC's Exemption Report

Solium Financial Services LLC (the "Company") is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. §240.17a-5, "Reports to be made by certain brokers and dealers"). This Exemption Report was prepared as required by 17 C.F.R. § 240.17a-5(d) (1) and (4). To the best of its knowledge and belief, the Company states the following:

In accordance with the FINRA membership agreement applicable to the Company, it is designated to operate under the exemptive provision of paragraph (k)(2)(i). The Company did not handle cash or securities on behalf of customers as at December 31, 2017 and for the year ended December 31, 2017. Therefore, to the best knowledge and belief of the Company, it is in compliance with Rule 15c3-3 and has been so throughout the year ended December 31, 2017 without exception.

Solium Financial Services LLC

Name of Company

I, Dominick Scianandre, swear (or affirm) that, to my best knowledge and belief, this Exemption Report is true and correct.

By:

Title: President / CEO / CCO

February 22, 2018



Deloitte LLP
700, 850 2nd Street SW
Calgary, AB T2P 0R8
Canada

Tel: 403-267-1700
Fax: 587-774-5379

www.deloitte.ca

February 22, 2018

Solium Financial Services LLC
Mr. Dominic Scianandre, President
c/o Solium Capital Inc.
Suite 1500, 600 – 3rd Avenue S.W.
Calgary, Alberta T2P 0G5

Dear Mr. Scianandre:

We have performed an audit of the financial statements of Solium Financial Services LLC (the "Company") as of and for the year ended December 31, 2017, in accordance with the standards of the Public Company Accounting Oversight Board (United States) (the "PCAOB").

PCAOB Ethics and Independence Rule 3526, *Communication with Audit Committees Concerning Independence*, requires that we communicate, in writing, with you at least annually all relationships between Deloitte LLP, the member firms of Deloitte Touche Tohmatsu Limited, and their respective affiliates (collectively, the "Deloitte Entities") and the Company and its affiliates or persons in financial reporting oversight roles at the Company and its affiliates (see United States Securities and Exchange Commission ("SEC") Rule 2-01 of Regulation S-X for definitions of "affiliates" and "financial reporting oversight roles"), that, as of the date of this letter, may reasonably be thought to bear on our independence.

The PCAOB Ethics and Independence Rule 3526, *Communication with Audit Committees Concerning Independence*, and Canadian generally accepted auditing standards requires that we communicate, in writing with you, on a timely basis and at least annually, regarding our compliance with relevant ethical requirements regarding independence. We are also required to communicate all relationships and other matters between Deloitte LLP, the member firms of Deloitte Touche Tohmatsu Limited, and their respective affiliates (collectively the "Deloitte Entities") and the Company and its affiliates, or persons in financial reporting oversight roles at the Company and its affiliates (see Securities and Exchange Commission (SEC) Rule 2-01 of Regulation S-X for definitions of "affiliates" and "financial reporting oversight roles"), that, as of the date of this letter, may reasonably be thought to bear on our independence as well as the related safeguards that have been applied to eliminate identified threats to independence or reduce them to an acceptable level. We have previously communicated with Ms. Kelly Schmitt, chief financial officer of Solium Group, to obtain her views as to the nature of the matters that should be reported to those charged with governance.

In determining which relationships to report, the standards require us to consider relevant rules and related interpretations prescribed by the appropriate provincial regulator and applicable legislation, covering such matters as:

- A. Holding a financial interest, either directly or indirectly, in a client;
- B. Holding a position, either directly or indirectly, that gives the right or responsibility to exert significant influence over the financial or accounting policies of a client;
- C. Personal or business relationships of immediate family, close relatives, partners or retired partners, either directly or indirectly, with a client;
- D. Economic dependence on a client; and
- E. Provision of services in addition to the audit engagement.

Since this Company is registered with the United States Securities and Exchange Commission (the "SEC"), we must also consider additional requirements for independence of auditors contained in relevant legislation and standards of professional conduct in the United States.

We confirm to you that the engagement team and others in the firm as appropriate, the firm and, when applicable, network firms have complied with relevant ethical requirements regarding independence.

We are not aware of any relationships between the Deloitte Entities and the Company and its affiliates, or persons in financial reporting oversight roles at the Company and its affiliates, that may reasonably be thought to bear on our independence, that have occurred from February 24, 2017 to February 22, 2018.

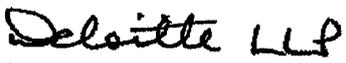
The total fees charged to the Company for audit services were \$27,300 plus a 7% administrative charge and applicable taxes during the period covered by the financial statements.

We hereby confirm that as of February 22, 2018, we are independent accountants with respect to the Company and its affiliates within the meaning of the Rules of Professional Conduct of the Chartered Professional Accountants of Alberta and the rules and standards of the PCAOB and the securities laws and regulations administered by the SEC.

This letter is intended solely for the information and use of the Board of Directors, management, and others within the Company and is not intended to be and should not be used by anyone other than these specified parties.

We look forward to discussing with you the matters addressed in this letter, as well as other matters that may be of interest to you.

Yours truly,


Chartered Professional Accountants



Deloitte LLP
700, 850 2 Street SW
Calgary, AB T2P 0R8
Canada

Tel: 403-267-1700
Fax: 587-774-5379
www.deloitte.ca

February 22, 2018

Solium Financial Services LLC
Mr. Dominick Scianandre, President
Ms. Kelly Schmitt, Chief Financial Officer
c/o Solium Capital Inc.
Suite 1500, 600 – 3rd Avenue S.W.
Calgary, Alberta T2P 0G5

Independent Auditor's Consent

We consent to the use of our auditor's report dated February 22, 2018 to the Directors of Solium Financial Services LLC (the "Company") on the financial statements of the Company comprising the balance sheet as at December 31, 2017 and the statement of operations and retained earnings, statement of changes in shareholder's equity, statement of changes in liabilities subordinate to claims of general creditors and statement of cash flows for the year then ended to be filed with regulatory authorities on February 22, 2018.

We have not performed any procedures subsequent to the date of this consent.

This consent is provided to the Company for use solely in connection with the above filing of these financial statements pursuant to the continuous disclosure provisions of securities legislation of Alberta; accordingly, we do not consent to the use, or to the release (as such term is defined in section 138 of the Securities Act (Ontario) or other applicable securities legislation), by the Company or any other person, of our auditor's report for any other purpose. If our auditor's report is proposed to be used for any other purpose or otherwise released, a further consent in writing is required from us. If a further consent is requested, we will, subject to being retained for that purpose, undertake such additional procedures as are required by professional standards to enable us to determine whether we can furnish the further consent and, if we are able to do so, we will.

Yours very truly,


Chartered Professional Accountants

SIPC-7

(35-REV 6/17)

SECURITIES INVESTOR PROTECTION CORPORATION
P.O. Box 92185 Washington, D.C. 20090-2185
202-371-8300

General Assessment Reconciliation

SIPC-7

(35-REV 6/17)

For the fiscal year ended 12/31/2017
(Read carefully the instructions in your Working Copy before completing this Form)

TO BE FILED BY ALL SIPC MEMBERS WITH FISCAL YEAR ENDINGS

1. Name of Member, address, Designated Examining Authority, 1934 Act registration no. and month in which fiscal year ends for purposes of the audit requirement of SEC Rule 17a-5:

93****1401*****MIXED AADC 220
67942 FINRA DEC
SOLIUM FINANCIAL SERVICES LLC
50 TIGE BLVD STE A18
WOODCLIFF LAKE, NJ 07677-7858

Note: If any of the information shown on the mailing label requires correction, please e-mail any corrections to form@sipc.org and so indicate on the form filed.

Name and telephone number of person to contact respecting this form.

Dominick Scianandre 201-476-9011

- 2. A. General Assessment (item 2e from page 2) \$ 2,927
- B. Less payment made with SIPC-6 filed (exclude interest) (2,125)
July 27, 2017
Date Paid
- C. Less prior overpayment applied (_____)
- D. Assessment balance due or (overpayment) _____
- E. Interest computed on late payment (see instruction E) for _____ days at 20% per annum _____
- F. Total assessment balance and interest due (or overpayment carried forward) \$ 802
- G. PAID WITH THIS FORM:
Check enclosed, payable to SIPC
Total (must be same as F above) \$ 802
- H. Overpayment carried forward \$(_____)

3. Subsidiaries (S) and predecessors (P) included in this form (give name and 1934 Act registration number):

The SIPC member submitting this form and the person by whom it is executed represent thereby that all information contained herein is true, correct and complete.

Solium Financial Services, LLC
(Name of Corporation, Partnership or other organization)
Dominick Scianandre
(Authorized Signature)
CEO/COO
(Title)

Dated the 16th day of February, 2018.

This form and the assessment payment is due 60 days after the end of the fiscal year. Retain the Working Copy of this form for a period of not less than 6 years, the latest 2 years in an easily accessible place.

SIPC REVIEWER Dates: Postmarked Received Reviewed
Calculations _____ Documentation _____ Forward Copy _____
Exceptions:
Disposition of exceptions:

**DETERMINATION OF SIPC NET OPERATING REVENUES
AND GENERAL ASSESSMENT**

Amounts for the fiscal period
beginning 1/1/2017
and ending 12/31/2017

Item No.

Eliminate cents

2a. Total revenue (FOCUS Line 12/Part IIA Line 9, Code 4030)

\$ 1,951,442

2b. Additions:

(1) Total revenues from the securities business of subsidiaries (except foreign subsidiaries) and predecessors not included above.

(2) Net loss from principal transactions in securities in trading accounts.

(3) Net loss from principal transactions in commodities in trading accounts.

(4) Interest and dividend expense deducted in determining item 2a.

(5) Net loss from management of or participation in the underwriting or distribution of securities.

(6) Expenses other than advertising, printing, registration fees and legal fees deducted in determining net profit from management of or participation in underwriting or distribution of securities.

(7) Net loss from securities in investment accounts.

Total additions

2c. Deductions:

(1) Revenues from the distribution of shares of a registered open end investment company or unit investment trust, from the sale of variable annuities, from the business of insurance, from investment advisory services rendered to registered investment companies or insurance company separate accounts, and from transactions in security futures products.

(2) Revenues from commodity transactions.

(3) Commissions, floor brokerage and clearance paid to other SIPC members in connection with securities transactions.

(4) Reimbursements for postage in connection with proxy solicitation.

(5) Net gain from securities in investment accounts.

(6) 100% of commissions and markups earned from transactions in (i) certificates of deposit and (ii) Treasury bills, bankers acceptances or commercial paper that mature nine months or less from issuance date.

(7) Direct expenses of printing advertising and legal fees incurred in connection with other revenue related to the securities business (revenue defined by Section 16(9)(L) of the Act).

(8) Other revenue not related either directly or indirectly to the securities business.
(See Instruction C):

(Deductions in excess of \$100,000 require documentation)

(9) (i) Total interest and dividend expense (FOCUS Line 22/PART IIA Line 13, Code 4075 plus line 2b(4) above) but not in excess of total interest and dividend income.

\$ _____

(ii) 40% of margin interest earned on customers securities accounts (40% of FOCUS line 5, Code 3960).

\$ _____

Enter the greater of line (i) or (ii)

Total deductions

2d. SIPC Net Operating Revenues

\$ 1,951,442

2e. General Assessment @ .0015

\$ 2,927

(to page 1, line 2.A.)



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To the Shareholder of
Solium Financial Services, LLC
50 Tice Blvd. Ste. A18
Woodcliff Lake NJ 07677-7658

Dear Sirs/Mesdames:

In accordance with Rule 17a-5(e)(4) under the United States Securities Exchange Act of 1934, we have performed the procedures enumerated below with respect to the accompanying General Assessment Reconciliation to the United States Securities Investor Protection Corporation ("SIPC") for the year ended December 31, 2017 ("Form SIPC-7"), which were agreed to by Solium Financial Services, LLC ("SFS" or the "Company") and the United States Securities and Exchange Commission, United States Financial Industry Regulatory Authority, Inc., and SIPC solely to assist you and the other specified parties in evaluating the Company's compliance with the applicable instructions of the Form SIPC-7. SFS's management is responsible for the Company's compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States). The sufficiency of these procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose. The procedures we performed and our findings are as follows:

1. Compared the listed assessment payments in Form SIPC-7 with respective cash disbursement records entries, noting no differences;
2. Compared the amounts reported on the audited Form X-17A-5 for the year ended December 31, 2017, as applicable, with the amounts reported in Form SIPC-7 for the year ended December 31, 2017, noting no differences;
3. Compared any adjustments reported in Form SIPC-7 with supporting schedules and working papers noting no differences; and
4. Proved the arithmetical accuracy of the calculations reflected in Form SIPC-7 and in the related schedules and working papers supporting the adjustments, noting no differences.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on compliance. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of the specified parties listed above and is not intended to be and should not be used by anyone other than these specified parties.

Chartered Professional Accountants
Calgary, Alberta
February 22, 2018



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Report of the Independent Registered Public Accounting Firm

To the Board of Directors and Shareholder of Solium Financial Services LLC

We have reviewed management's statements, included in the accompanying Rule 15c3-3 Exemption Report, in which (1) Solium Financial Services LLC (the "Company") identified the following provisions of 17 C.F.R. § 15c3-3(k) under which the Company claimed an exemption from 17 C.F.R. § 240.15c3-3 paragraph (k)(2)(i) (the "exemption provisions") and (2) the Company stated that the Company met the identified exemption provisions throughout the year ended December 31, 2017 without exception. The Company's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about the Company's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(i) of Rule 15c3-3 under the Securities Exchange Act of 1934.

Chartered Professional Accountants
February 22, 2018