

091-25107j

For Internal Use  
Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
hours per response.....3.60

SEC Mail Processing  
JUL 27 2018  
Washington, DC

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**
- Class of New Derivative Securities Product:  
**Exchange Traded Fund**
- Name of Underlying Instrument:  
**NYSE FactSet Global Robotics and Artificial Intelligence Index**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**IRBO**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Listed on: Deutsche Borse, Euronext Paris, Hong Kong, Korea Stock Exchange, London Stock Exchange, NASDAQ Stock Market LLC, NYSE Amex, OMX Nordic Exchange, Helsinki, Taipei, Tel Aviv Stock Exchange, Tokyo Stock Exchange, Toronto**
- Position Limits of New Derivative Securities Product (if applicable):  
**Regular way trades settle on T + 2 (cash settled)**
- Position Limits of New Derivative Securities Product (if applicable):  
**N/A**



18002954

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**William Slattery**

Title:  
**Vice President**

Telephone Number:  
**+1 301 9789088**

Securities and Exchange Commission  
Trading and Markets

Manual Signature of Official Responsible for Form:  
*William Slattery* Securities Exchange Act of 1934

Date: **July 24, 2018**

Section 19b-4  
Rule 19b-4(e)

JUL 27 2018

SEC 2449 (6-01)

Public Availability: **JUL 27 2018**

RECEIVED