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UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

APR 06 2010

Washington DC 415

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)**
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product: **Exchange Traded Fund**
- 4. Name of Underlying Instrument: **Actively Managed Portfolio of Securities**
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Not Applicable**
- 6. Ticker Symbol(s) of New Derivative Securities Product: **IETC**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **Listed on: NASDAQ Stock Market LLC, NYSE**
- 8. Position Limits of New Derivative Securities Product (if applicable): **Regular way trades settle on T + 2 (cash settled)**
- 9. Position Limits of New Derivative Securities Product (if applicable): **N/A**

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **William Slattery**

Title: **Vice President**

Telephone Number: **+1 301 9788088**

Manual Signature of Official Responsible for Form:

William Slattery

Date: **March 29, 2018**



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|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | APR 06 2018 |