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OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **Nasdaq Stock Market LLC (traded pursuant to unlisted trading privileges)**
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Corporation**
- 3. Class of New Derivative Securities Product: **Exchange Traded Note**
- 4. Name of Underlying Instrument: **Russell 1000 Growth Total Return Index**
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product: **FRLG**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **Listed on: NASDAQ Stock Market LLC, NYSE**
- 8. Position Limits of New Derivative Securities Product (if applicable): **Regular way trades settle on T + 2 (cash settled)**
- 9. Position Limits of New Derivative Securities Product (if applicable): **N/A**

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **William Slattery**
Title: **Vice President**
Telephone Number: **+1 301 9788088**



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Manual Signature of Official Responsible for Form: *William Slattery*
Date: **April 09, 2018**

Act: **Securities Exchange Act of 1934**
Section: **19b-4**
Rule: **19b-4(e)**
Public Availability: **APR 11 2018**