

091-245638

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549  
**FORM 19b-4(e)**

SEC MAIL FIVE  
Received  
MAR 23 2018  
WASHINGTON, D.C.

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NYSE American, LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open-end Management Investment Company

3. Class of New Derivative Securities Product:  
Managed Fund Shares

4. Name of Underlying Instrument:  
n/a

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
n/a

6. Ticker Symbol(s) of New Derivative Securities Product:  
DIVO

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
n/a

8. Settlement Methodology of New Derivative Securities Product:  
Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.



**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Martha Redding

Title:  
Associate General Counsel and Assistant Secretary

Telephone Number:  
(212) 656-2938

Manual Signature of Official Responsible for Form:

April 20, 2018  
SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 23 2018



Martha Redding  
Associate General Counsel  
Assistant Secretary

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F + 1 212 656 8101  
Martha.Redding@theice.com

Via Overnight Mail

April 20, 2018

Ms. Claudette Ransom  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 7010  
Washington, DC 20549

SEC  
Mail Processing  
Section  
APR 23 2018  
Washington DC  
412

**Re: 19b-4(e) – Transmittal (NYSE American, LLC)**

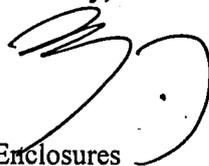
Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**Global X Autonomous & Electric Vehicles ETF (DRIV)**  
**Direxion Daily Robotics & Artificial Intelligence Bull 3X Shares (UBOT)**  
**Hartford Schroders Tax-Aware Bond ETF (HTAB)**  
**Principal Investment Grade Corporate Active ETF (IG)**  
**Amplify YieldShares Senior Loan & Income ETF (YESR)**  
**Amplify Yieldshares CWP Dividend & Option Income ETF (DIVO)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,



Enclosures

Securities and Exchange Commission  
Trading and Markets

APR 23 2018

RECEIVED