

091-24560yn

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549  
**FORM 19b-4(e)**

~~SEC MAIL PROCESSING~~  
Received

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I** Initial Listing Report WASH, D.C.

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NYSE American LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open-end Management Investment Company

Securities and Exchange Commission  
Trading and Markets

3. Class of New Derivative Securities Product:  
Managed Fund Shares

APR 23 2018

4. Name of Underlying Instrument:  
n/a

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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
n/a

6. Ticker Symbol(s) of New Derivative Securities Product:  
HTAB

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
n/a

8. Settlement Methodology of New Derivative Securities Product:  
Regular way trades settle on T+2/Book entry only held in DTC.



9. Position Limits of New Derivative Securities Product (if applicable):  
Not applicable.

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**Part II** Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Martha Redding

Title:  
Associate General Counsel and Assistant Secretary

Telephone Number:  
(212) 656-2938

Manual Signature of Official Responsible for Form:

April 20, 2018  
SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 23 2018