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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

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SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Mail Processing
Section

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

APR 23 2018

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: Washington DC Chicago Stock Exchange, Inc. 406
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust
- Class of New Derivative Securities Product: Ownership of the Trust
- Name of Underlying Instrument: Hartford Schroders Tax-Aware ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product: HTAB
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various
- Settlement Methodology of New Derivative Securities Product: See Prospectus
- Position Limits of New Derivative Securities Product (if applicable): See Prospectus



18002503

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Michael J. Cardin

Title:

Director
Member Regulation Department

Telephone Number:

312-663-2204

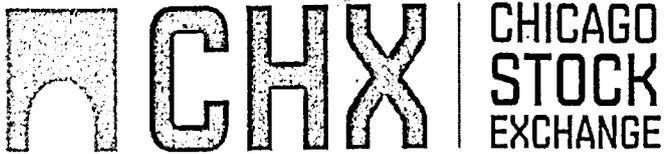
Manual Signature of Official Responsible for Form:

Michael J. Cardin

Date:

April 19, 2018

Securities Exchange Act of 1934
Rule 19b-4(e)
Public Availability:
APR 23 2018



SEC MAIL PROCESSING
Received
APR 23 2018
WASH, D.C.

April 19, 2018

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

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HTAB	Hartford Schroders Tax-Aware ETF
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If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin
Director
Member Regulation Department

Enclosures