

091-24388m

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549
FORM 19b-4(e)

Mail Processed
 Section
 MAR 27 2018

Information Required of a Self-Regulatory Organization Listing and Trading a New
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NYSE American LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust

3. Class of New Derivative Securities Product:
Trust Issued Receipts



18002352

4. Name of Underlying Instrument:
Breakwave Dry Freight Futures Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
BDRY

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Nasdaq Stockholm AB, ICE Futures US, CME, Singapore Exchange Ltd, European Energy Exchange

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Securities and Exchange Commission
Trading and Markets

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

MAR 23 2018

Manual Signature of Official Responsible for Form:

March 26, 2018
SEC 2449 (1/99)

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Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 27 2018