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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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FORM 19b-4(e)

FEB 22 2018

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Investors Exchange LLC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attachment A
- Class of New Derivative Securities Product:
See Attachment A
- Name of Underlying Instrument:
See Attachment A
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attachment A
- Ticker Symbol(s) of New Derivative Securities Product:
See Attachment A
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See Attachment A
- Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+2 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable



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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Claudia Crowley

Title:
Chief Regulatory Officer

Telephone Number:
646-343-2041

Manual Signature of Official Responsible for Form:

Claudia Crowley (handwritten signature)

Date:
2/15/18

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Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 22 2018

Instructions for Completing Form 19b-4(e)

- I. **Terms.** Unless the context clearly indicates otherwise, terms used in this Form have the meaning ascribed to them in the Securities Exchange Act of 1934, as amended, and Rule 19b-4 thereunder.
- II. **Who Must File: When to File.** Rule 19b-4(e) requires every self-regulatory organization (SRO) seeking to rely on Rule 19b-4(e) to file Form 19b-4(e) with the Securities and Exchange Commission (Commission or SEC) at least 5 business days after commencement of trading a new derivative securities product that is not deemed to be a proposed rule change. Each time an SRO files Form 19b-4(e), the execution page must be completed.
- III. **Number of Copies: How and Where to File.** File the original and nine copies of each Form 19b-4(e) with the SEC, 450 Fifth Street, N.W., Washington, D.C. 20549. The SRO must keep an exact copy of the filing for its records. All copies must be legible. The filing date of any Form 19b-4(e) is the date of its actual receipt by the SEC, provided that the filing complies with applicable requirements.
- IV. **Format of Filing.** An SRO may use the printed Form 19b-4(e) or a reproduction of it.
- V. **Paperwork Reduction Act Disclosure.** Form 19b-4(e) requires an SRO filing the Form to provide the Commission with certain information concerning the nature of the new derivative securities product it intends to list and/or trade.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number. Sections 3(a)(26), 3(a)(27), 3(a)(28), 3(b), 19(b), 23(a) and 36(a) of the Securities Exchange Act of 1934 authorize the Commission to collect information on Form 19b-4(e) from SROs. See 15 U.S.C. §§78c(a)(26), 78c(a)(27), 78c(a)(28), 78c(b), 78s(b), 78w(a), 78mm(a).

Any member of the public may direct to the Commission any comments concerning the accuracy of the burden estimate on the facing page of Form 19b-4(e) and any suggestions for reducing this burden.

The principal purpose of Form 19b-4(e) is to enable the Commission to maintain an accurate record of the listing and trading of all new derivative securities products on the SROs not deemed to be proposed rule changes pursuant to Rule 19b-4(e).

It is estimated SROs will spend approximately 2 hours completing each Form 19b-4(e).

It is mandatory that an SRO file Form 19b-4(e) with the Commission at least 5 business days after commencement of trading a new derivative securities product that is not deemed to be a proposed rule change.

No assurance of confidentiality is given by the Commission with respect to the responses made in the Form. The public has access to the information contained in the Form.

This collection of information has been reviewed by the Office of Management and Budget (OMB) in accordance with the clearance requirements of 44 U.S.C. 3507. The applicable Privacy Act system of records is SEC-2 and the routine uses of the records are set forth at 40 FR 39255 (August 27, 1975) and 41 FR 5318 (February 5, 1976).

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Attachment A to Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
WLDR	Fund	Index Fund Shares	Thomson Reuters StarMine Affinity World Leaders Index	Global	Broad
BLOK	Fund	Managed Fund Shares	N/A	Global	Broad
FNGU	Corporation	ETN	NYSE Fang+ Index	Domestic	Broad
FNGD	Corporation	ETN	NYSE Fang+ Index	Domestic	Broad
FIDI	Fund	Index Fund Shares	Fidelity International High Dividend Index	Global	Broad
FIVA	Fund	Index Fund Shares	Fidelity International Value Factor Index	Global	Broad
LEGR	Fund	Index Fund Shares	Indxx Blockchain Index	Global	Broad
FLIN	Fund	Index Fund Shares	FTSE India Capped Index	Global	Broad
FLRU	Fund	Index Fund Shares	FTSE Russia Capped Index	Global	Broad
FLSW	Fund	Index Fund Shares	FTSE Switzerland Capped Index	Global	Broad
FLAX	Fund	Index Fund Shares	FTSE Asia ex Japan Capped Index	Global	Broad
PLTM	Fund	Index Fund Shares	Spot Price of Physical Platinum Bullion	Global	Narrow
KOIN	Fund	Index Fund Shares	Innovation Labs Blockchain Innovators Index	Global	Broad
HONR	Fund	Index Fund Shares	Military Veterans Index	Domestic	Broad
VXXB	Corporation	ETN	S&P 500 VIX Short-Term Futures Index	Domestic	Broad
VXZB	Corporation	ETN	S&P 500 VIX Mid-Term Futures Index	Domestic	Broad

Attachment A to Form 19b-4(e)

JJTB	Corporation	ETN	Bloomberg Tin Subindex Total Return	Global	Narrow
SGGB	Corporation	ETN	Bloomberg Sugar Subindex Total Return	Global	Narrow
JJSB	Corporation	ETN	Bloomberg Softs Subindex Total Return	Global	Narrow
JJPB	Corporation	ETN	Bloomberg Precious Metals Subindex Total Return	Global	Narrow
PGMB	Corporation	ETN	Bloomberg Platinum Subindex Total Return	Global	Narrow
BJJN	Corporation	ETN	Bloomberg Nickel Subindex Total Return	Global	Narrow
COWB	Corporation	ETN	Bloomberg Livestock Subindex Total Return	Global	Narrow
JJMB	Corporation	ETN	Bloomberg Industrial Metals Subindex Total Return	Global	Narrow
JJGB	Corporation	ETN	Bloomberg Grains Subindex Total Return	Global	Narrow
JJEB	Corporation	ETN	Bloomberg Energy Subindex Total Return	Global	Narrow
BALB	Corporation	ETN	Bloomberg Cotton Subindex Total Return	Global	Narrow
JJCB	Corporation	ETN	Bloomberg Copper Subindex Total Return	Global	Narrow
BJO	Corporation	ETN	Bloomberg Coffee Subindex Total Return	Global	Narrow
JJUB	Corporation	ETN	Bloomberg Aluminum Subindex Total Return	Global	Narrow
JJAB	Corporation	ETN	Bloomberg Agriculture Subindex Total Return	Global	Narrow
JPLS	Fund	Managed Fund Shares	N/A	Global	Broad
JPMB	Fund	Index Fund Shares	JPMorgan Emerging Markets Risk- Aware Bond Index	Global	Broad

Attachment A to Form 19b-4(e)

KARS	Fund	Index Fund Shares	Solactive Electric Vehicles and Future Mobility Index	Global	Broad
KURE	Fund	Index Fund Shares	MSCI China All Shares Health Care Index	Global	Broad
TMFC	Fund	Index Fund Shares	Motley Fool 100 Index	Domestic	Broad
BLCN	Fund	Index Fund Shares	Reality Shares Nasdaq Blockchain Economy Index	Global	Broad
HNDL	Fund	Index Fund Shares	Nasdaq 7 HANDL Index	Domestic	Broad
IDIV	Fund	Index Fund Shares	Solactive U.S. Cumulative Dividends Index-Series 2027	Domestic	Broad
XDIV	Fund	Index Fund Shares	Solactive U.S. Equity Ex-Dividends Index-Series 2027	Domestic	Broad
RPUT	Fund	Index Fund Shares	Cboe Russell 2000 PutWrite Index	Domestic	Broad