

091-24209

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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FEB 26 2018

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC**
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**
- Class of New Derivative Securities Product:  
**Exchange Traded Fund**
- Name of Underlying Instrument:  
**Nasdaq CTA Artificial Intelligence and Robotics Index**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**ROBT**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**BOLSA DE VALORES, MERCADORIAS E FUTUROS, EURONEXT AMSTERDAM, XETRA, HONG KONG, KOREA, LONDON, NASDAQ, NYSE, EURONEXT PARIS, STOCKHOLM, SWISS, TAIWAN, TOKYO, TORONTO, SIX SWISS**
- Settlement Methodology of New Derivatives Product:  
**Regular way trades settle on T + 2 (cash settled)**
- Position Limits of New Derivative Securities Product (if applicable):  
**N/A**



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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Arnold Golub**

Title:  
**Vice President**

Securities and Exchange

Telephone Number:  
**1-301-978-8075**

Manual Signature of Official Responsible for Form:

*Arnold Golub*

Date: February 22, 2018

FEB 26 2018

Act: Securities Exchange Act of 1934

Section: 19b-4

Rule: 19b-4(e)

RECEIVED

Public Availability: FEB 26 2018