

091-24290m

For Internal Use  
Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
hours per response.....3.60

SEC  
Mail Processing  
Section

FEB 13 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Washington DC

FORM 19b-4(e)

416  
Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open End Management Investment Company

3. Class of New Derivative Securities Product:  
Exchange Traded Fund

4. Name of Underlying Instrument:  
Innovation Labs Blockchain Innovators Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:  
KOIN

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Listed on: Deutsche Borse, Hong Kong, London Stock Exchange, NASDAQ Stock Market LLC, NYSE, Taiwan  
Stock Exchange, Tokyo Stock Exchange

8. Position Limits of New Derivative Securities Product (if applicable):  
Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):  
N/A

RECEIVED  
FEB 13 4:11:55  
SEC / TM



18002121

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Colleen Steele

Title:  
Assistant Corporate Secretary

Telephone Number:  
301-978-8736

Manual Signature of Official Responsible for Form:

Date: February 09, 2018

SEC 2449 (6-01)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	FEB 13 2018