

091-2414487

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**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
 Washington, D.C. 20549  
**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report 408**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
 NYSE American, LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
 Open-end Management Investment Company

3. Class of New Derivative Securities Product:  
 Investment Company Units



18002006

4. Name of Underlying Instrument:  
 Solactive UDS High Yield Corporates Total Market 0-5 Year Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
 Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
 SHYL

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
 OTC

8. Settlement Methodology of New Derivative Securities Product:  
 Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):  
 Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
 Martha Redding

Title:  
 Associate General Counsel and Assistant Secretary

Telephone Number:  
 (212) 656-2938

Manual Signature of Official Responsible for Form:

January 16, 2018  
 SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
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