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OMB APPROVAL  
OMB Number: 3235-0504  
Expires: August 31, 2010  
Estimated average burden  
hours per response.....3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Corporation

3. Class of New Derivative Securities Product:  
Exchange Traded Note

4. Name of Underlying Instrument:  
Bloomberg Coffee Subindex



18001854

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-based

6. Ticker Symbol(s) of New Derivative Securities Product:  
BJO

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Listed on: CME Group, ICE

8. Position Limits of New Derivative Securities Product (if applicable):  
Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):  
N/A

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Colleen Steele

Title:  
Assistant Corporate Secretary

Telephone Number:  
301-978-8736

Manual Signature of Official Responsible for Form:

Date: January 29, 2018

Act Securities Exchange Act of 1934  
Section 19b-4  
Rule 19b-4(e)  
Public Availability: JAN 31 2018