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SECURITIES AND EXCHANGE COMMISSION
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Washington, DC 20549

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**ANNUAL AUDITED REPORT
FORM X-17A-5
PART III**

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FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 1/1/17 AND ENDING 12/31/17
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: CapLink Securities, Inc.

OFFICIAL USE ONLY
FIRM I.D. NO.

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

200 Pier Avenue, Suite 123

(No. and Street)

Hermosa Beach

CA

90254

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Scott Mibu

310-658-0803

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Elizabeth Tractenberg, CPA

(Name -- if individual, state last, first, middle name)

2367 Clubhouse Drive

Rocklin

CA

95765

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

Certified Public Accountant

Public Accountant

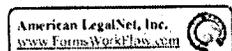
Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1410 (06-02)



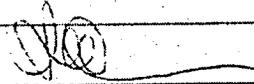
DM

OATH OR AFFIRMATION

I, Scott Mibu, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of CapLink Securities, Inc., as

of December 31, 2017, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

None



Signature

PRESIDENT

Title

See attachment for notary certificate
Notary Public

This report ** contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Cash Flows.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

California Jurat Certificate

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

S.S.

County of Los Angeles

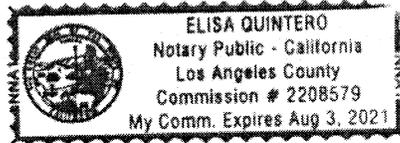
Subscribed and sworn to (or affirmed) before me on this 13 day of February

20 12, by Scott Mibu and

N/A, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



Notary Public



OPTIONAL INFORMATION

Although this information is not required by law, it is to be preserved for public use and to be made available to the public upon request.

Description of Attached Document

The certificate is attached to a document titled/for the purpose of

Annual Audited Report

containing 3 pages, and dated 2/13/12

Additional Information

Method of Affiant Identification

Proved to me on the basis of satisfactory evidence:
 form(s) of identification credible witness(es)

Notarial event is detailed in notary journal on:

Page # _____ Entry # _____

Notary contact _____

Other

Affiant(s) Thumbprint(s) Describe: _____

CapLink Securities, Inc.

**Financial Statements and Supplemental Schedules
Required by the U.S. Securities and Exchange Commission**

Including Independent Auditor's Report Thereon

For the Year-Ended December 31, 2017

Elizabeth Tractenberg, CPA
2367 Clubhouse Drive
ROCKLIN, CALIFORNIA 95765
916/259-1666 – Fax 323/517-2625
elizabeth@tractenberg.net
PCAOB # 3621

Report of Independent Registered Public Accounting Firm

To the Shareholders
CapLink Securities, Inc.
Hermosa Beach, CA 90254

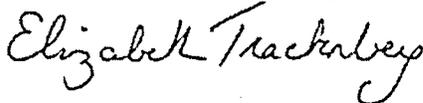
Opinion on the Financial Statements

I have audited the accompanying statement of financial condition of CapLink Securities, Inc., as of December 31, 2017 and the related statements of loss, changes in shareholders' equity, and cash flows for the year then ended, and the related notes and Schedule I, Statement of Net Capital Under Rule 15c3-1, Schedule II, Determination of Reserve Requirements Under Rule 15c3-3(exemption), and Schedule III, Information Relating to Possession or Control Requirements Under Rule 15c3-3 (exemption) (collectively referred to as the "financial statements"). In my opinion, the financial statements present fairly, in all material respects, the financial position of CapLink Securities, Inc. as of December 31, 2017, and the results of its operations and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

Basis for Opinion

These financial statements are the responsibility of CapLink Securities, Inc.'s management. My responsibility is to express an opinion on CapLink Securities, Inc.'s financial statements based on my audit. I am a public accounting firm registered with the Public Company Accounting Oversight Board (United States) ("PCAOB") and I am required to be independent with respect to CapLink Securities, Inc. in accordance with U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

I conducted my audit in accordance with the standards of the PCAOB. Those standards require that I plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether due to error or fraud. My audit included performing procedures to assess the risks of material misstatement of the financial statements, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements. My audit also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statements. I believe that my audit provides a reasonable basis for my opinion.



Elizabeth Tractenberg, CPA
I have served as CapLink Securities, Inc.'s auditor since 2013.

Rocklin, CA
February 8, 2018

CapLink Securities, Inc.
Statement of Financial Condition
December 31, 2017

Assets

Cash	\$ 21,194
Commission Receivable	16,169
Other Assets - Prepaid	<u>6,745</u>
Total assets	<u>\$ 44,108</u>

Liabilities and Shareholders' Equity

Liabilities

Accounts payable and accrued expenses	\$ 8,796
Commissions Payable	<u>14,875</u>
Total liabilities	<u>23,671</u>

Shareholders' equity

Common stock, .001 par value; 10,000 shares authorized 2,000 shares outstanding	2
Additional paid-in capital	30,443
Retained earnings	<u>(10,008)</u>
Total Shareholders' equity	<u>\$ 20,437</u>
Total Liabilities and Shareholders' equity	<u>\$ 44,108</u>

The accompanying notes are an integral part of these financial statements.

CapLink Securities, Inc.
Statement of (Loss)
For the Period Ended December 31, 2017

Revenues	
Commissions	\$ 282,147
Other income	<u>92,500</u>
Total revenues	<u>374,647</u>
 Expenses	
Commissions	320,146
Professional fees	22,921
Regulatory fees	13,178
Rent	21,300
Other operating expenses	<u>16,285</u>
Total expenses	<u>393,830</u>
Net (loss) before income tax provision	(19,183)
 Income tax provision	 <u>800</u>
Net (loss)	<u>\$ (19,983)</u>

The accompanying notes are an integral part of these financial statements.

CapLink Securities, Inc.
Statement of Changes in Shareholders' Equity
For the Period Ended December 31, 2017

	Common Stock		Additional Paid-in Capital		Retained Earnings	Total Shareholders' Equity
	Shares	Par Value				
Balance at January 1, 2017	2,000	\$ 2	\$ 20,443	\$ 9,975	\$ 30,420	
Shareholder contribution			10,000		10,000	
Net (loss)				(19,983)	(19,983)	
Balance at December 31, 2017	2,000	\$ 2	\$ 30,443	\$ (10,008)	\$ 20,437	

The accompanying notes are an integral part of these financial statements.

CapLink Securities, Inc.
Statement of Cash Flows
For the Period Ended December 31, 2017

Cash flow from operating activities:		
Net (loss)		\$ (19,983)
Adjustments to reconcile net income (loss) to net cash provided by (used in) operating activities:		
(Increase) decrease in assets:		
Commission Receivable	\$ 3,331	
Prepaid Expense	30	
Increase (decrease) in liabilities:		
Accounts payable and accrued expenses	5,812	
Commissions Payable	<u>(3,065)</u>	
Total adjustments		<u>6,108</u>
Net cash (used in) operating activities		(13,875)
Net cash provided by (used in) in investing activities		<u>-</u>
Shareholders' contributions	10,000	
Net cash provided by (used in) financing activities		<u>10,000</u>
Net decrease in cash		(3,875)
Cash at beginning of period		<u>25,069</u>
Cash at end of period		<u>\$ 21,194</u>
 Supplemental disclosure of cash flow information:		
Cash paid during the period for:		
Interest	\$ -	
Income taxes	\$ 800	

The accompanying notes are an integral part of these financial statements.

CapLink Securities, Inc.
Notes to Financial Statements
December 31, 2017

NOTE 1 - SIGNIFICANT ACCOUNTING POLICIES

Organization – CapLink Securities, Inc. (the "Company") was incorporated in the State of California on April 17, 2003 under the name Centara Capital Securities, Inc. Operations began July 2004. In August 2015, the Company changed their name to CapLink Securities, Inc. and filed the change with the Secretary of the State of California. The Company is registered with the Securities and Exchange Commission and is a member of the Financial Industry Regulatory Authority (FINRA).

Basis of Presentation – The Company conducts the following types of business as a securities broker-dealer, which comprises several classes of services, including:

- Private Placements
- Third party marketing of alternative investments

Revenue Recognition – Third party marketing revenue is recognized in the form of quarterly fees that are earned as a percentage of the manager's fees assessed and earned on the assets in the various funds. Marketing fees are recognized in the month that the manager charges the quarterly fees on the assets in the funds. Due to the nature of the Company's business, the size of any one transaction may be significant to the Company's operations for the period. On October 29, 2013, the Company began conducting business under Rule 15c3-3(k)(2)(i).

Concentrations of Credit Risk - The Company's cash is on deposit at one financial institution and the balance at times may exceed the federally insured limit. The Company believes it is not exposed to any significant credit risk to cash.

Estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

NOTE 2 - FAIR VALUE

Unless otherwise indicated, the fair values of all reported assets and liabilities that represent financial instruments (none of which are held for trading purposes) approximate the carrying values of such amounts.

CapLink Securities, Inc.
Notes to Financial Statements
December 31, 2017

NOTE 3 - INCOME TAXES

The Company has elected to be taxed under the provisions of subchapter S of the Internal Revenue Code and comparable State of California statutes wherein the Company's taxable federal and state income is taxed directly to the shareholders. Additionally, the state of California imposes a 1.5% state franchise tax on the corporation's taxable income.

The accounting principles generally accepted in the United States of America provide accounting and disclosure guidance about positions taken by an organization in its tax returns that might be uncertain. Management has considered its tax positions and believes that all of the positions taken by the Company in its Federal and State organization tax returns are more likely than not to be sustained upon examination. The Company is subject to examinations by U.S. Federal and State tax authorities from 2014 to the present, generally for three years after they are filed.

NOTE 4 - NET CAPITAL REQUIREMENTS

As a registered broker/dealer and member of the Financial Industry Regulatory Authority, the Company is subject to the Securities and Exchange Commission Uniform Net Capital Rule (rule 15c3-1), which requires the maintenance of minimum net capital and requires that the ratio of aggregate indebtedness to net capital, both as defined, shall not exceed 15 to 1. At December 31, 2017, the Company had net capital of \$12,398 which was \$7,398 above its required net capital of \$5,000. The ratio of aggregate indebtedness to net capital was 1.91 to 1.

NOTE 5 - EXEMPTION FROM THE SEC RULE 15C3-3

Rule 15c3-3(k)(2)(i) provides an exemption from the SEC's so-called "customer protection rule" for firms that: carry no margin accounts; promptly transmit all customer funds and deliver all securities received in connection with their broker-dealer activities; do not otherwise hold funds or securities for, or owe money or securities to, customers; and effectuate all financial transactions with customers through one or more bank accounts designated as "Special Account for the Exclusive Benefit of Customers" of the Company.

NOTE 6 - LEASE FOOTNOTE

The Company leases office space under a non-cancellable operating lease expiring October 31, 2018. At December 31, 2017, future minimum lease payments under this agreement were \$18,000. Rent expense for the year was \$21,300.

CapLink Securities, Inc.
Notes to Financial Statements
December 31, 2017

NOTE 7 – SIPC SUPPLEMENTARY REPORT REQUIREMENT

The Company is not required to complete the SIPC Supplementary report under SEC Rule 17a-5(e)(4) for year ending December 31, 2017 because the Company's SIPC Net Operating Revenues are under \$500,000.

NOTE 8 – SUBSEQUENT EVENTS

Management has reviewed the results of operations for the period of time from its year end December 31, 2017 through February 8, 2018, the date the financial statements were available to be issued and has determined that no adjustments are necessary to the amounts reported in the accompanying financial statements nor have any subsequent events occurred, the nature of which would require disclosure.

CapLink Securities, Inc.
Schedule I - Computation of Net Capital Requirements
Pursuant to Rule 15c3-1
As of December 31, 2017

Computation of net capital

Shareholders' equity	<u>\$ 20,437</u>	
Total Shareholders' equity		\$ 20,437
Less: Non-allowable assets		
Commission non-allowable	1,294	
Prepaid expense	<u>6,745</u>	
Total non-allowable assets		<u>8,039</u>
Net Capital		12,398

Computation of net capital requirements

Minimum net capital requirements 6 2/3 percent of net aggregate indebtedness	<u>\$ 1,578</u>	
Minimum dollar net capital required	<u>\$ 5,000</u>	
Net capital required (greater of above)		<u>5,000</u>
Excess net capital		<u><u>\$ 7,398</u></u>

Ratio of aggregate indebtedness to net capital 1.91:1

There was no material difference between net capital computation shown here and the net capital computation shown on the Company's unaudited Form X-17A-5 report dated December 31, 2017.

See independent auditor's report

CapLink Securities, Inc.
Schedule II – Computation for Determination of Reserve
Requirements Pursuant to Rule 15c3-3
As of December 31, 2017

A computation of reserve requirement is not applicable to CapLink Securities, Inc. as the Company qualifies for exemption under Rule 15c3-3 (k)(2)(i).

CapLink Securities, Inc.
Schedule III – Information Relating to Possession or Control
Requirements Pursuant to Rule 15c3-3
As of December 31, 2017

Information relating to possession or control requirements is not applicable to CapLink Securities, Inc. as the Company qualifies for exemption under Rule 15c3-3 (k)(2)(i).

Elizabeth Tractenberg, CPA
2367 Clubhouse Drive
ROCKLIN, CALIFORNIA 95765
916/259-1666 – Fax 323/517-2625
elizabeth@tractenberg.net
PCAOB # 3621

Report of Independent Registered Public Accounting Firm – Exemption Report

To the Shareholders
CapLink Securities, Inc.
Hermosa Beach, CA 90254

I have reviewed management's statements, included in the accompanying CapLink Securities, Inc. (the "Company") Exemption Report in which (1) CapLink Securities, Inc. identified the following provisions of 17C.F.R. §15c3-3(k) under which the CapLink Securities, Inc. claimed an exemption from 17 C.F.R. §240.15c3-3: ((k) (2) (i)) ("exemption provisions"), and (2) the CapLink Securities, Inc. stated that the CapLink Securities, Inc. met the identified exemption provisions throughout the most recent fiscal year without exception. The CapLink Securities, Inc.'s management is responsible for compliance with the exemption provisions and its statements.

My review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about CapLink Securities, Inc.'s compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, I do not express such an opinion.

Based on my review, I am not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k) (2) (i) of Rule 15c3-3 under the Securities Exchange Act of 1934.



Elizabeth Tractenberg, CPA
Rocklin, CA
February 8, 2018

CAPLINK SECURITIES, INC.

January 24, 2018

Elizabeth Tractenberg, CPA
2367 Clubhouse Drive
Rocklin, CA 95765

Re: SEA Rule 17a-5(d) (4) Exemption Report

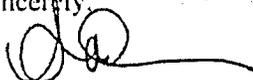
Dear Ms. Tractenberg:

Pursuant to the referenced rule, the following information is provided.

Under its membership agreement with FINRA and pursuant to Rule 15c3-3 (k) (2) (i), the Company conducts business on a fully disclosed basis and does not execute or clear securities transactions for customers.

CapLink Securities, Inc. met the Section 204, 15c3-3 (k)(2)(i) exemption for the period January 1, 2017 through December 31, 2017.

Sincerely,



Scott Mibu
President

200 Pier Avenue, Suite 123
Hermosa Beach, CA 90254

PHONE (310) 937-7354
FAX (310) 943-2790
