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Securities and Exchange

FEB 23 2018

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ANNUAL AUDITED REPORT
FORM X-17A-5
PART III

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| OMB APPROVAL |
| OMB Number 3235-0123 |
| Expires: August 31, 2020 |
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|-----------------|
| SEC FILE NUMBER |
| 8-53557 |

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01/01/17 AND ENDING 12/31/17
(MM/DD/YY) (MM/DD/YY)

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Tradebot Systems, Inc.

| |
|-------------------|
| OFFICIAL USE ONLY |
| |
| FIRM ID. NO. |

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

1251 NW Briarcliff Parkway, Suite 700

(No. and Street)

Kansas City
(City)

MO
(State)

64116
(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Jennifer Tomlinson

(816) 285-6400

(Area Code - Telephone No.)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Grant Thornton LLP

(Name - if individual, state last, first, middle name)

1201 Walnut St., Suite 2200
(Address)

Kansas City
(City)

MO
(State)

64106
(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions

| |
|-----------------------|
| FOR OFFICIAL USE ONLY |
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*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See section 240.17a-5(e)(2).

DM

OATH OR AFFIRMATION

I, Jennifer Tomlinson, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Tradebot Systems, Inc. as of December 31, 2017, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

Jennifer Tomlinson
Signature

CEO
Title

Anthony J. Schulte
Notary Public



ANTHONY J. SCHULTE
My Commission Expires
September 18, 2019
Platte County
Commission #15241991

This report** contains (check all applicable boxes):

- (a) Facing page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation, of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

TRADEBOT SYSTEMS, INC.
STATEMENT OF FINANCIAL CONDITION

December 31, 2017

ASSETS

| | |
|---|-----------------------------|
| CURRENT ASSETS | |
| Cash and cash equivalents | \$ 54,269,736 |
| Receivables from brokers, dealers, and clearing organizations | 3,930,314 |
| Trading securities | 1,943,212 |
| Prepaid and other current assets | 408,706 |
| Income tax receivable | 11,080 |
| TOTAL CURRENT ASSETS | <u>60,563,048</u> |
| PROPERTY AND EQUIPMENT, at cost | |
| Computer equipment and software | 13,909,812 |
| Aircraft | 6,754,034 |
| Leasehold improvements | 2,424,540 |
| Office furniture and fixtures | 546,670 |
| | <u>23,635,056</u> |
| Less accumulated depreciation and amortization | <u>(18,694,564)</u> |
| NET PROPERTY AND EQUIPMENT | <u>4,940,492</u> |
| OTHER ASSETS | <u>3,726,814</u> |
| TOTAL ASSETS | <u>\$ 69,230,354</u> |

LIABILITIES

| | |
|--|-------------------------|
| CURRENT LIABILITIES | |
| Accounts payable | \$ 5,013,288 |
| Accrued expenses and other current liabilities | 2,747,265 |
| Securities sold, not yet purchased | 1,624,231 |
| Deferred rent | 17,007 |
| TOTAL CURRENT LIABILITIES | <u>9,401,791</u> |
| TOTAL LIABILITIES | <u>9,401,791</u> |

STOCKHOLDER'S EQUITY

| | |
|---|-----------------------------|
| COMMON STOCK, \$.0001 par value, authorized shares - 50,000,000, issued and outstanding shares - 10,000,000 | 1,000 |
| RETAINED EARNINGS | <u>59,827,563</u> |
| TOTAL STOCKHOLDER'S EQUITY | <u>59,828,563</u> |
| TOTAL LIABILITIES AND STOCKHOLDER'S EQUITY | <u>\$ 69,230,354</u> |

See Notes to Financial Statements